

# 2013-2016 Response to Recommendations (RTR) Process Webinar

Sept. 7, 2016

**California Joint Investor-Owned Utilities (IOUs) –**  
**Pacific Gas & Electric Company**  
**Southern California Edison**  
**Southern California Gas Company**  
**San Diego Gas & Electric**

# + Overview

- RTR Background
- RTR Packet
- RTR Process
  - Stage 1: Measurement & Evaluation (M&E) Team Quality Assurance
  - Stage 2: Program Manager Response
  - Stage 3: Public Comments

# + RTR Background

- Response to Recommendations (RTR) overall objective
  - Demonstrate that ratepayer-funded evaluation, measurement, and verification (EM&V) study recommendations are heeded by program managers.
  - Closing feedback loop may also help evaluators improve the quality of their commendations
  
- RTRs required for three types of studies
  - EM&V Process Evaluations
  - EM&V Impact Evaluations
  - Market Studies
  
- Per California Public Utilities Commission (CPUC) Decision 07-09-043
  - “Within 60 days of public release, program administrators will respond in writing to the final report findings and recommendations indicating what action, if any, will be taken as a result of study findings as they relate to potential changes to the programs. Energy Division can choose to extend the 60 day limit if the administrator presents a compelling case that more time is needed and the delay will not cause any problems in the implementation schedule, and may shorten the time on a case-by-case basis if necessary to avoid delays in the schedule.”
  
- Energy Division (ED) recently refined the RTR process
  - Process can be found on [energydataweb.com/cpuc](http://energydataweb.com/cpuc) (search for “RTR Process”, posted 7/13/16).
  - Note: 60 days to share completed RTR with ED, not 60 days for public posting.

# + RTR Packet

- Email will have key dates and deadlines.
  - Completed RTR, approved by IOU management, needs to be delivered to ED within 60 days.
- Three attachments:
  - RTR template with recommendations
  - RTR instructions
  - RTR management review buck slip
- 60 Day extensions can be requested!
  - “The program administrators may stagger the submission of the individual RTRs to Commission Staff when a large volume of responses are due in order to improve access and responsiveness of all parties.” – RTR Process, 7/13/16
- Also: ED understands we still are working on a backlog of older studies.

# + Responses Are Delivered on the RTR Spreadsheet

- One RTR spreadsheet for each evaluation study.
- Recommendations are copied verbatim from each evaluation study’s “Recommendations” section.
  - If no such section, we attempt to identify recommendations contained within the evaluation.
  - Final decisions on what constitutes a “recommendation” is up to the Measurement & Evaluation (M&E) staff, if any are in question.
  - Moving towards having evaluators create recommendation table, but that’s not consistently done yet
- Responses to the recommendations should be made on a statewide (SW) basis when possible.
  - When that was not appropriate (e.g., due to utility-specific recommendations), program administrators (PAs) can respond individually.
  - It will be up to each program area to decide whether they want to give a SW response or a PA-specific response.
  - There are two tabs in each RTR template—one for SW, one for individual IOU/PAs.
- The response will be led primarily by the IOU statewide program manager (PM) lead, with help from the M&E lead. The IOU statewide PM lead for each program area will be asked to work with their counterparts from each IOU/PA to coordinate any SW responses to a statewide recommendation or to collect responses to any IOU-specific recommendations.
  - “The CCA [community choice aggregators] and Regional Energy Networks (RENs) administrators manage their own responses to recommendations.” – RTR Process, 7/13/16
- During this phase, the M&E statewide lead can be asked to clarify recommendations and, where ambiguities exist, provide their expert judgment on how well it is supported by data and findings and on the “intent” of the recommendation.



# + Management Review Buck Slip

- SW lead program manager needs to make sure that the program manager's direct supervisor at each IOU have approved the completed RTR.

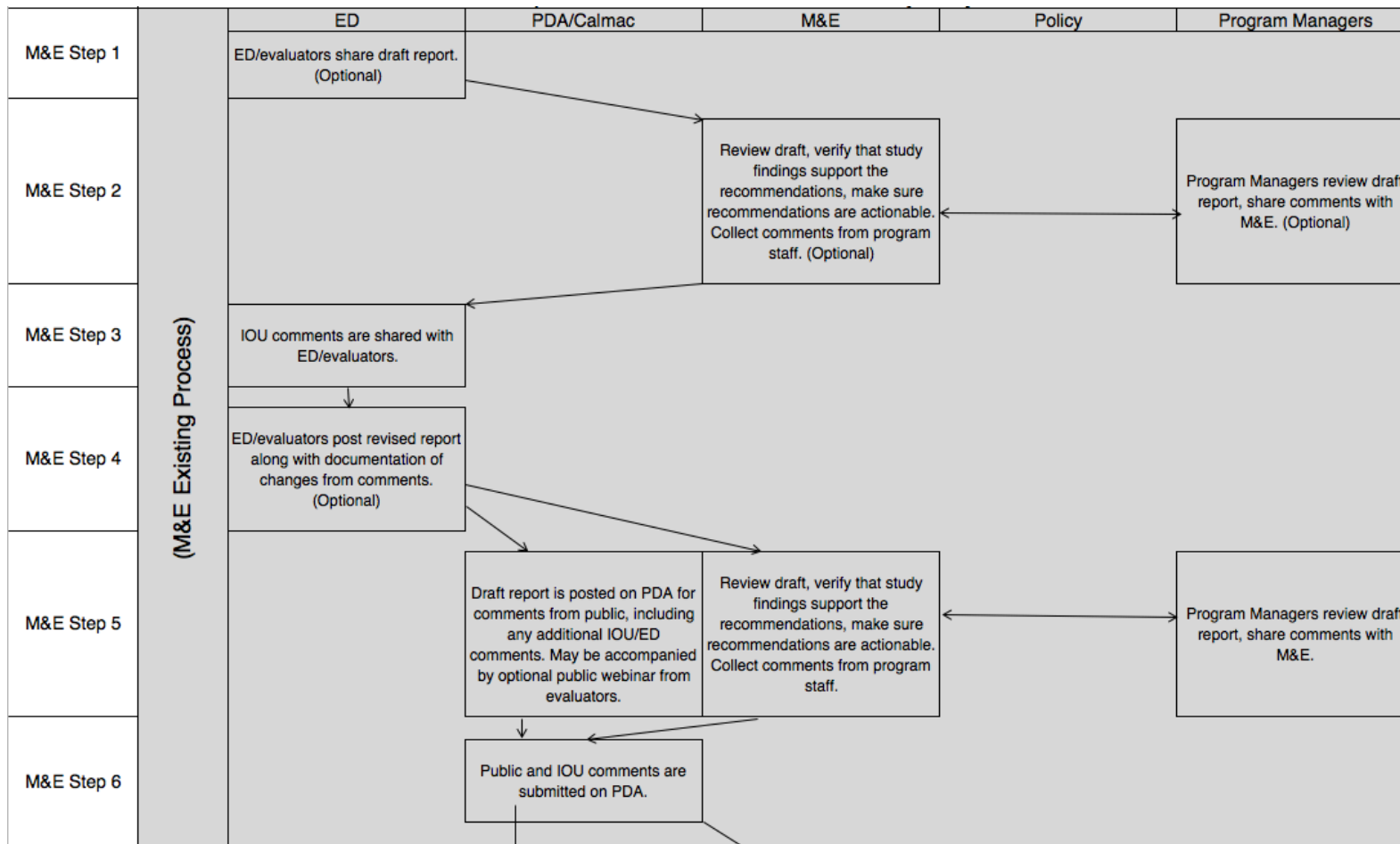
	A	B	C
1	<b>Study Title:</b>		
2	<b>Calmac ID #</b>		
3	<b>ED Work Order #</b>		
4	<b>Program:</b>		
5	<b>Author:</b>		
6			
7		<b>Name of Manager Approving RTR</b>	<b>Approval Date</b>
8	PG&E		
9	SCE		
10	SCG		
11	SDG&E		
12			

# + RTR Process Stages

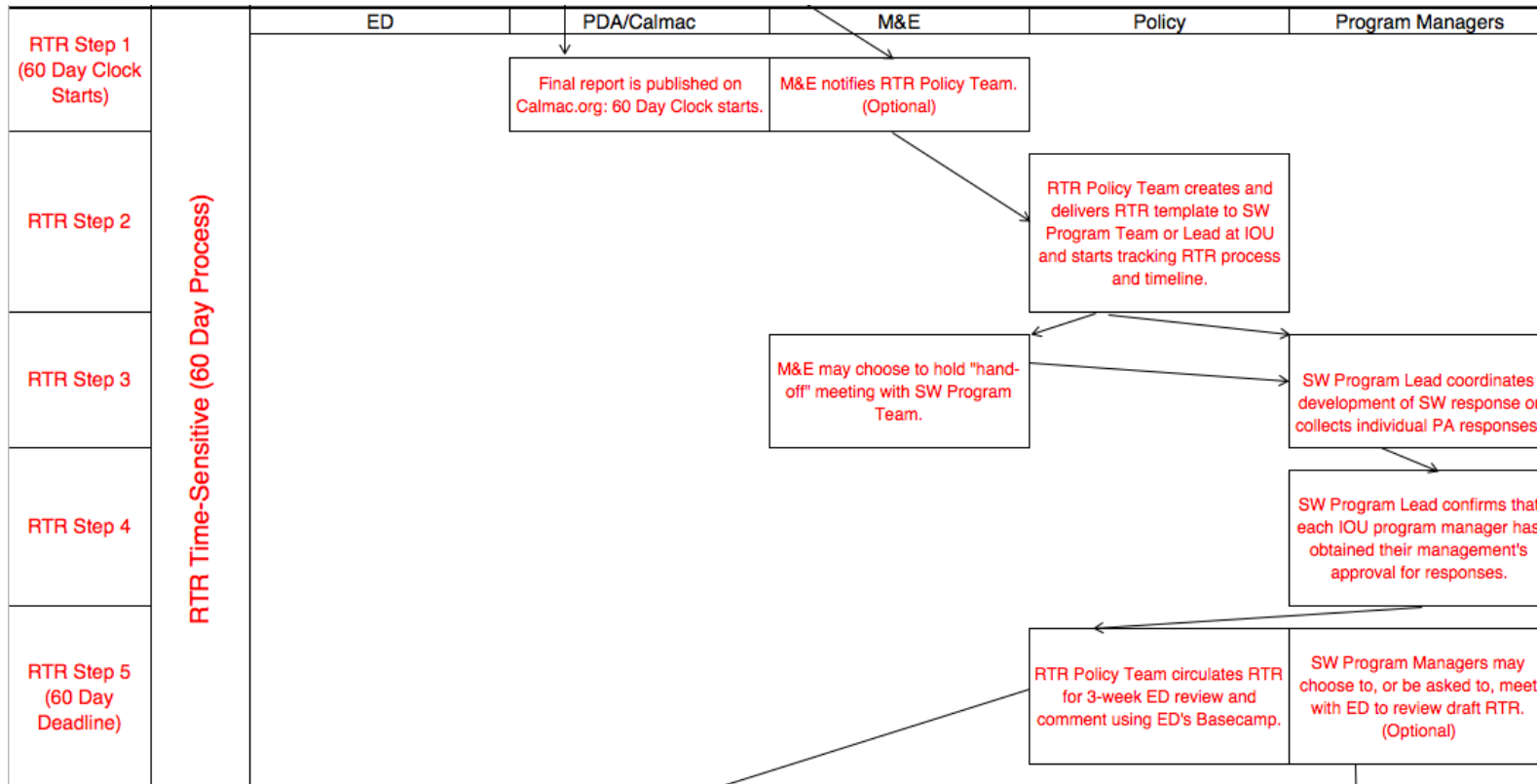
- Stage 1: M&E Quality Assurance
  - This is the IOU Measurement & Evaluation team's pre-existing process for all EM&V studies.
- Stage 2: Program Manager Response (60 Days)
  - PA program managers respond to recommendations and share with Energy Division.
- Stage 3: Public Comments (Written)
  - Discussions on responses to take place at California Energy Efficiency Coordinating Committee (CAEECC) meetings.



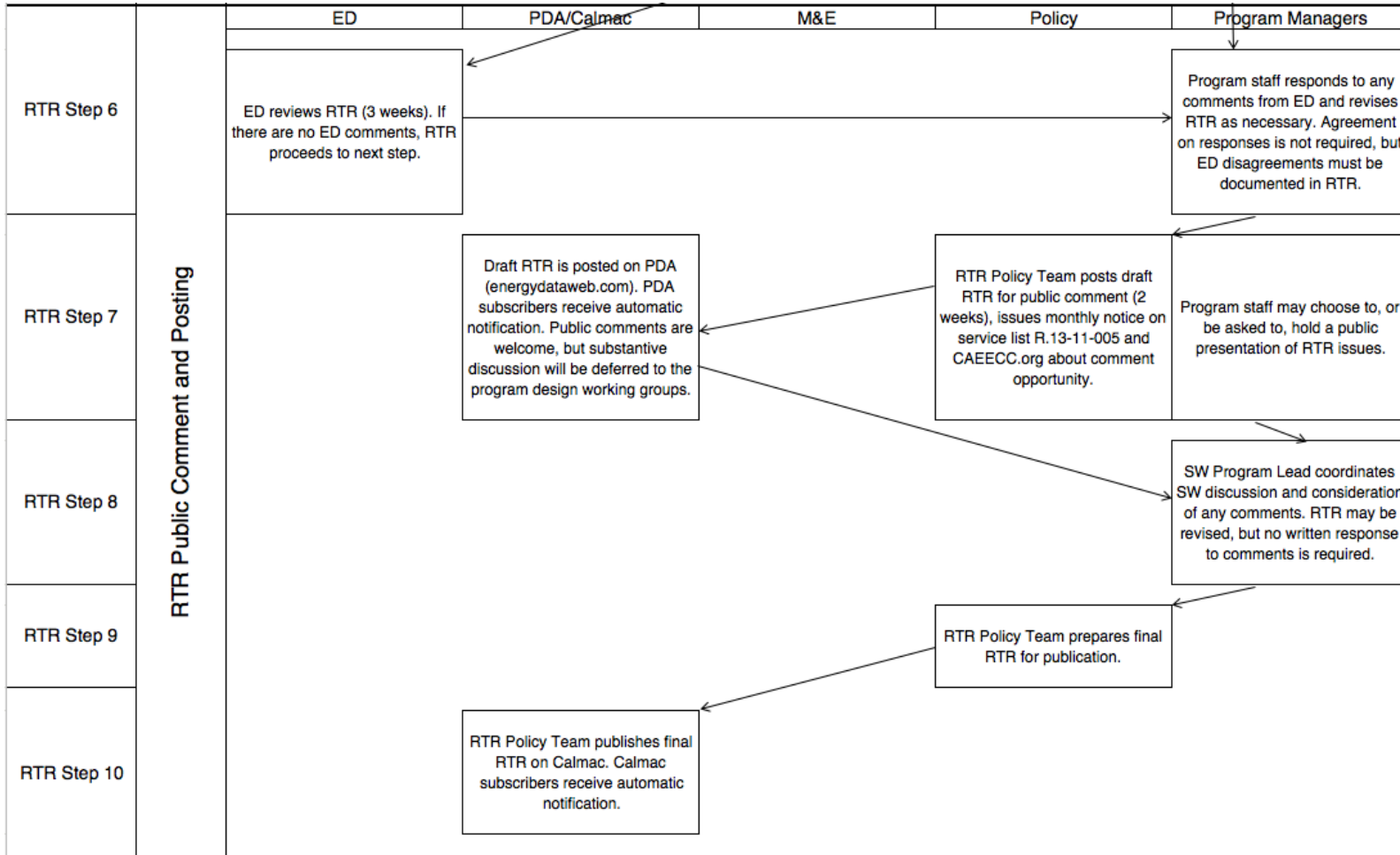
# + Stage 1: M&E Quality Assurance Flowchart



# + Stage 2: Program Manager Response (60 Days) Flowchart



# + Stage 3: Public Comments Flowchart



# + Text of Monthly Notice to Service List for R.13-11-005

- “The investor-owned utilities’ response to recommendations (RTRs) for several evaluation studies have been posted to [energydataweb.com](http://energydataweb.com) during the month of \_\_\_\_\_, 2016, and are available for public comment for a minimum 2-week period. The attached document lists the title and link for the original evaluation report, a link to the RTR itself, and a link to the website on which comments can be submitted.
- “The RTR reports demonstrate the program administrators’ plans and activities to incorporate evaluation, measurement, and verification (EM&V) recommendations into programs to improve performance and operations, where applicable. These RTRs are consistent with the 2013-2016 Energy Division & Program Administrator Energy Efficiency Evaluation, Measurement and Verification Plan Version 6 and with CPUC Decision 07-09-043.
- “The program administrators welcome this opportunity to publicly demonstrate how programs are taking advantage of evaluation recommendations while providing transparency to stakeholders on the ‘positive feedback loop’ between program design, implementation, and evaluation.
- “The RTRs are posted on [energydataweb.com/cpuc](http://energydataweb.com/cpuc) (search using ‘RTR’ as a keyword, or click the link in the attached document). To encourage public input, stakeholders may provide comments on this RTR during a public review period of two weeks or until the 15th of the following month, whichever is longer.
- **“While comments on the RTRs are welcome and will be carefully considered and the RTR may be revised, no formal written response will be made. Instead, substantive discussion on any response will be deferred to the relevant program working group, currently the California Energy Efficiency Coordinating Committee.**
- “An updated list of all completed RTRs can be found on the CAEECC website at: (<http://www.caeecc.org/rtrs>). The final RTRs will be posted to [Calmac.org](http://Calmac.org) as an appendix to the original report.”

# + Public Participation

- The California Energy Efficiency Coordinating Committee (CAEECC) website will have an updated list of RTRs available for public comment, plus the dates that comments close.
  - <http://www.caeecc.org/rtrs>
- Notice to Service List R.13-11-005 will have link to report, link to RTR, link to commenting page:
  - Example:
    - **2013 Custom Impact Evaluation Industrial, Agricultural, and Large Commercial (CPU0107.01)**
    - Report on Calmac:  
[http://calmac.org/publications/IALC\\_2013\\_Report\\_Final\\_071715.pdf](http://calmac.org/publications/IALC_2013_Report_Final_071715.pdf)
    - RTR for Public Comment:  
[http://www.energydataweb.com/cpucFiles/pdaDocs/1604/Group3\\_2013-2015.pdf](http://www.energydataweb.com/cpucFiles/pdaDocs/1604/Group3_2013-2015.pdf)
    - Link to Submit Comments:  
<http://www.energydataweb.com/cpuc/comment.aspx?did=1604>
- Final RTRs will be on Calmac.org; include “RTR” as keyword.
- Public stakeholders are asked to bring discussion of specific RTRs to the appropriate CAEECC meeting. There will be no regularly scheduled discussions of RTRs.

# + Questions about the RTR Process?

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- Contact the RTR Policy Team at
  - [RTRProcess@yinsight.net](mailto:RTRProcess@yinsight.net)