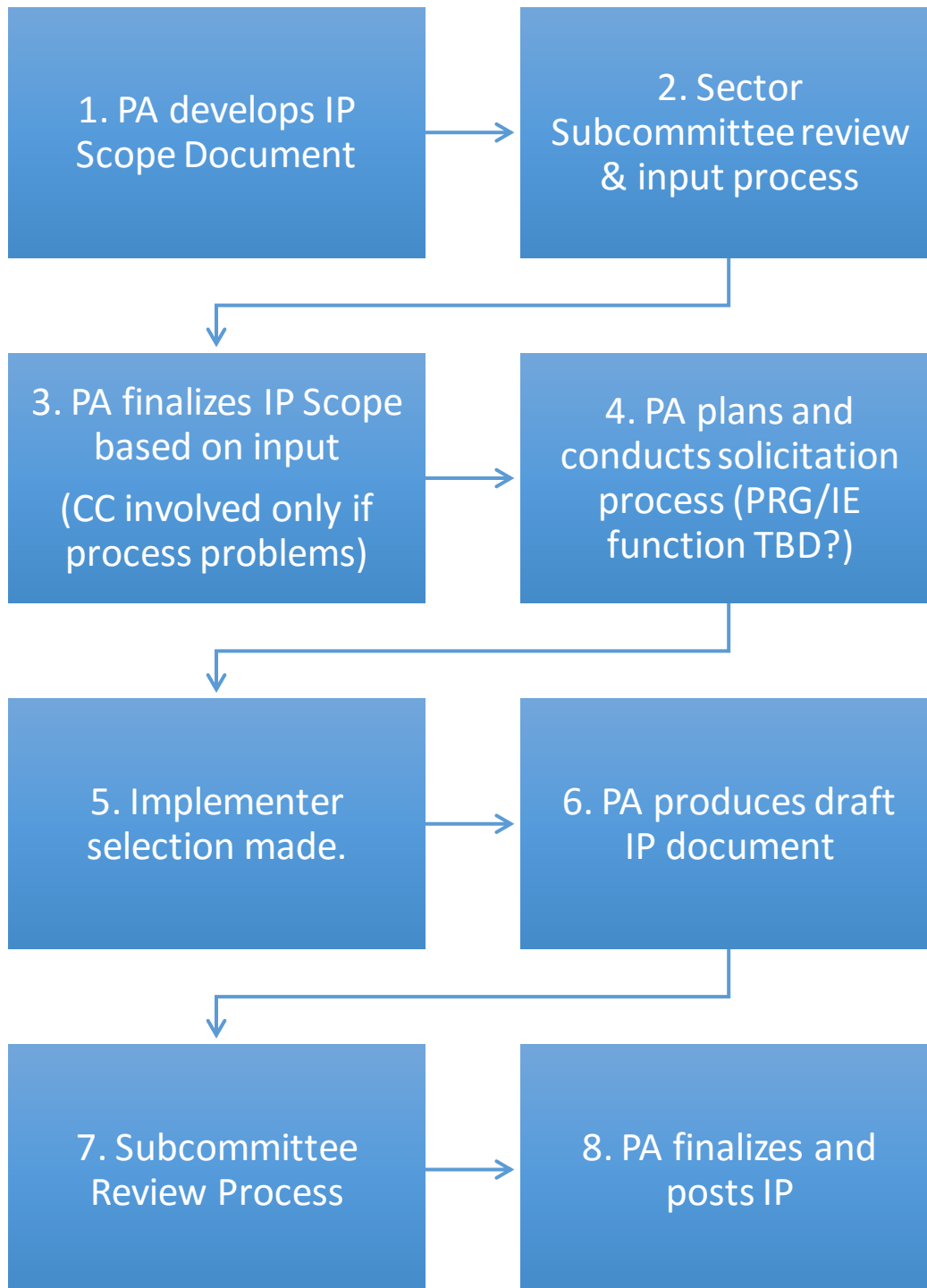


DRAFT Conceptual Process of Gathering Stakeholder Input on Implementation Plans.

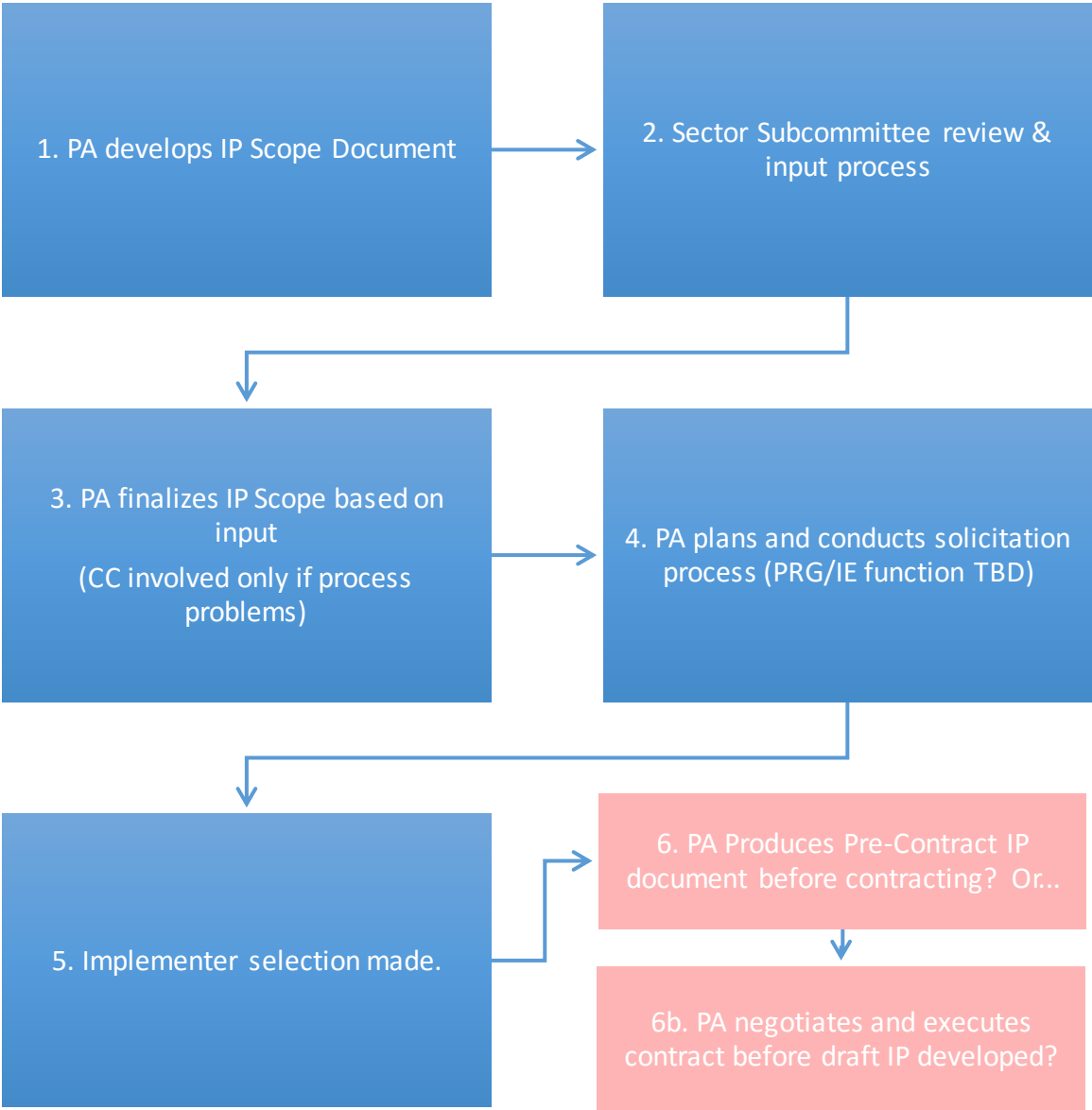
Implementation Plans Types

| Scenario | Name | Explanation |
|--|-------------|---|
| 1 3Ps design program and develop IPs | “3P LED IP” | <p><i>This scenario includes those IPs for programs that meet CPUC definition of “third party” and are minimally scope-defined during solicitation.</i></p> <p><i>[“To be designated as “third party,” the program must be proposed, designed, implemented, and delivered by non-utility personnel under contract to a utility program administrator.” p.65 and OP 10 of D.16-08-019]</i></p> |
| 2 Programs where PA handles some of the design before hiring implementer | “PA/3P IP” | <p><i>This scenario includes those IPs for programs that are somewhat designed by PAs but leave substantive design control for the bidder (e.g., PAs identify a need for a specific end use in a particular zip code and request a specific intervention strategy)</i></p> |
| 3 Programs where PA handles much of design before hiring an implementer (Not 3P; more akin to outsourcing) | “PA LED IP” | <p><i>This scenario includes those IPs for programs that are in essence back office or outsourcing needs. (e.g., marketing services, data analytics, etc.)</i></p> |

IP Review Process Flow (Simplified Scenario 3)



IP Review Process Flow (Simplified Scenarios 1 and 2)



“Food For Thought” Regarding Type 1 and 2 Implementation Plans

How can we get meaningful stakeholder input on IPs if the program is awarded on the basis of the proposal?

The challenge is that the proposed program would need to be essentially complete for bidder to win the award and therefore be pretty complete before being publicly vetted. That leaves a potential for stakeholders to feel their input is not meaningful or time worthwhile.

On the other hand, if we do have stakeholders comment on the program and there are significant changes proposed that the PA agrees with, that could also require a contract re-negotiation (which could potentially result in need for PA to rebid), which is problematic. [This risk is not the case for PA led IPs (e.g., Scenario 3)]

If there were a “pre-contract” version of the IP circulated prior to contract execution to allow meaningful stakeholder input, how do we protect confidentiality of the proposal until such time as a contract is actually executed?

How should the transition overall from PIPs to IPs be handled for programs that are NOT ending in the next two years or so?