

Implementation Plan Planning, Part 2

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Objectives for Today's Discussion

- ▶ Revisit briefly what an Implementation Plan is
- ▶ Revisit briefly CAEECC scope of involvement in Implementation Plan development process
- ▶ Discuss key principles guiding this conversation
- ▶ Establish the key questions to be addressed today
- ▶ Talk it out

Key Program Planning Documents for 2017 and Beyond

- ▶ California Long Term Strategic Energy Efficiency Plan (Phase III update)
- ▶ Business Plan - Effective Upon Approval (Spring/Summer 2017) through 2025
- ▶ Program Implementation Plans - carried forward from previous period
- ▶ Revised Program Implementation Plans - updated in 2017 from previous period
- ▶ Implementation Plans - (more like a database than a document) new in 2017 or after
- ▶ September 1 Tier 2 Budget Advice Letter

Implementation Plan

Per D.10-15-028

- ▶ *Implementation Plan - PA and stakeholder developed, not formally filed with the Commission; uploaded onto a Commission-maintained website as (and a PA website also, at each PA's discretion); provides detail on programs/implementation strategies.*
- ▶ *The information shown in the next slides will be uploaded to Commission to create a separate webpage for each program and sub-program through an online database platform.*
- ▶ *The information will be organized at the measure and sub-program level to enable multiple cross tabulations and outputs for stakeholders review and consideration. Programs with subprograms will be displayed at subprogram level, and will roll up to a program summary page*

PIPs versus IPs

per D. 15-10-028 pp. 63-64

- ▶ *We will not require replacement of all existing program implementation plans (PIPs) with new implementation plans. That is, we see no value in requiring PAs to immediately reformat all of their current PIPs into the implementation plan format. We will “grandfather” existing PIPs.*
- ▶ *EE Stats will allow for upload of both current PIPs and future implementation plans. The difference will be in the upload format. PIPs will only be uploadable as documents. **Implementation plans will be submitted in electronic form in an online tool.***
- ▶ *The implementation plans will have greater functionality than PIPs, so we encourage PAs to migrate from PIPs to implementation plans over time for evergreen programs, even though we do not require the migration on any particular timeline.*
- ▶ *The current system of maintaining PDF copies of implementation plans with tracked changes is not sustainable in a rolling portfolio environment.*

Overview of Implementation Plan Elements

Per Decision 15-10-028 October 22, 2015

- ▶ Program Budget & Savings Information
- ▶ Implementation Plan Narrative
- ▶ Supporting Document Attachments

1. Program Budget & Savings Information

1. Program and/or Sub-Program Name
2. Sub-Program ID number
3. Sub-program Budget Table
4. Sub-program Gross Impacts Table
5. Sub-Program Cost Effectiveness (TRC)
6. Sub-Program Cost Effectiveness (PAC)
7. Type of Sub-Program Implementer (Core, Third Party, or Partnership)
8. Market Sector
9. Sub-program Type (Non-resource, resource acquisition, market transformation)
10. Intervention Strategies (Upstream, downstream, midstream, direct install, non-resource, finance, etc.)

2. Implementation Plan Narrative

1. Program Description
2. Program Delivery and Customer Service
3. Program Design and Best Practices
4. EM&V
 - a. Internal performance analysis during deployment
 - b. Performance Metrics.
5. Pilots
6. Additional Information

3. Supporting Document Attachments

1. Program Manuals and Program Rules

1. *Eligible Measures or measure eligibility*
2. *Customer Eligibility Requirements*
3. *Contractor Eligibility Requirements*
4. *Participating Contractors, Manufacturers, Retailers, Distributors*
5. *Additional Services*
6. *Audits*
7. *Sub-Program Quality Assurance Provisions*
8. *MT-Only Quantitative Baseline and Market Transformation Information*
9. *MT-Only Market Transformation Strategy*

3. Supporting Document Attachments, Cont.

2. Program Logic Models

3. Process Flow Chart

4. Incentive Tables, Workpapers, Software Tools

5. Quantitative Program Targets

6. Diagram of Program (showing linkages to to cross cutting efforts)

Time Frame For Planning Document Development and Posting

- ▶ PIPs - already filed—uploaded to CPUC data system CEDARS as PDF
- ▶ Revised PIPs - timeframe? -- upload to CEDARS as PDF
- ▶ Implementation Plans (IPs) - Starting after approval of business plans (summer/fall 2017 and thereafter)
- ▶ Also, we need to workout in 2017 a goal for phasing out the PIPs

CAEECC Scope of Work Relating to IP Per D. 15-10-028 p.73

- ▶ *ii. Provide input into development of implementation plans, again, prior to and throughout the drafting process;*
- ▶ *iii. Provide input into development of annual budget advice letters*, again, prior to and throughout the drafting process; and,*
- ▶ *iv. Provide input into development and revision of metrics for inclusion in business plans and implementation plans as part of i and ii.*

*each PA lists in its budget advice letter changes it made to implementation plans in the prior year.

Principles Guiding This Conversation

(in response to 10/19 conversation)

- ▶ Must be opportunity for CAEECC to provide meaningful input (that can be acted on if PA concurs) into development of implementation plans prior to and throughout the drafting process (per D15-10-028)
- ▶ Don't create redundant documents and processes
- ▶ Minimize impact of CAEECC IP input and review process on PA implementer selection, contracting and program launch
- ▶ Minimize risk to prospective implementers due to IP approval uncertainty
- ▶ Consider the range in types of solicitations and IPs (e.g., not all programs are outsourced and not all outsourced programs are Third Party)
- ▶ What else needs to be on this list for today's conversation?

Things We Need to Figure Out (not all today)

- ▶ **What, if any, input opportunities should there be for CAEECC review between the Business Plan filing and presentation of the draft final Implementation Plan?**
- ▶ **What, if any, placeholder documents are needed to bridge between the Business Plans description of intervention strategies and presentation by PA/Implementer of a draft final Implementation Plan to CAEECC for input?**
- ▶ **How do we leverage the Subcommittees as part of the CAEECC review process for best effect?**
- ▶ How is a draft IP manifested - is it simply draft content uploaded in the CPUC data system or is there a PDF representation until it is uploaded as “final”?
- ▶ Is there going to be a deadline for the first wave of IPs, if so, how many months after BP approval?
- ▶ When will grandfathered PIPs need to be phased out and replaced with all IPs?
- ▶ Other questions?

Discussion

