



# **Evolving CAEECC Working Group Meeting #1**

June 15, 2023 | 9:30 AM - 12:30 PM PT

Hosted by California Energy Efficiency Coordinating Committee (CAEECC)

# Meeting Goals

**1**

**Introduce the Working Group and review the purpose of this effort**

**2**

**Meet Working Group members**

**3**

**Ground the Working Group and set collaboration expectations**

# Agenda

9:30

Welcome and Background

- Welcome
- What is CAEECC? What is this effort? Why are we collectively here?
- How will this Working Group flow?
- Sharing Disclosures

10:40

Meeting Each Other

- Overview of intentions
- Round Robin Introductions

11:45

Working Together Productively

- Overview of schedule and activities
- Establishing flexibility for productive collaboration

12:00

Wrap Up

- What to expect next: Work Between Meetings and meeting dates

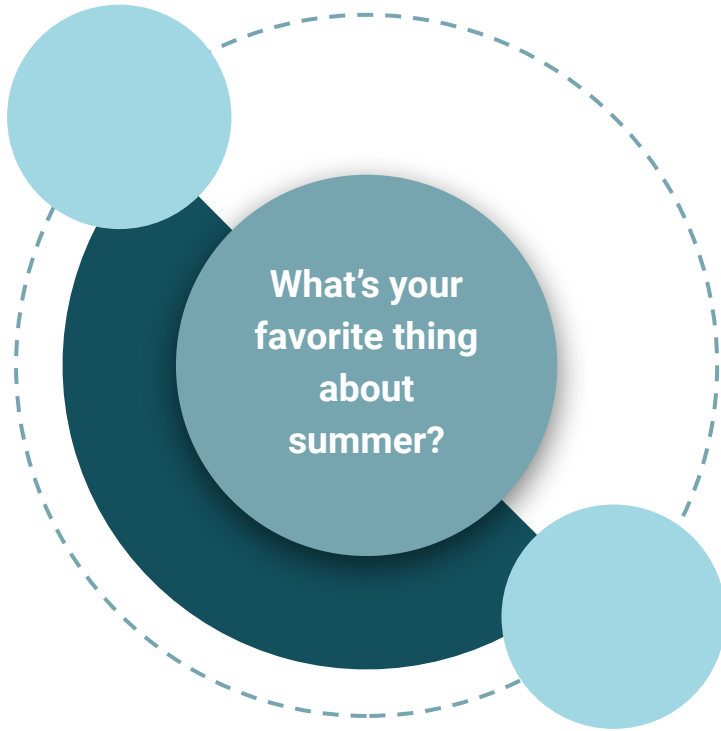
Break

12:10

Closed Session: Compensation Pilot Walkthrough for Grantees

- Walkthrough of the invoicing process
- Brief Q&A

# Introductions



In the **chat**, please introduce yourself with your:

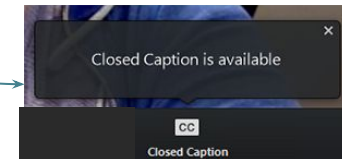
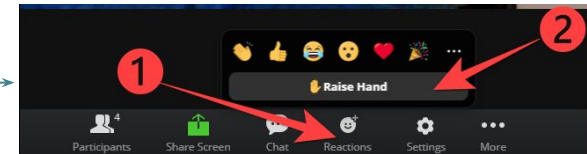
- Name and pronouns
- Organization
- Answer to the Icebreaker question

# Housekeeping

- Zoom etiquette
- Public Input
- Meeting Norms and Ground Rules

# Zoom Etiquette

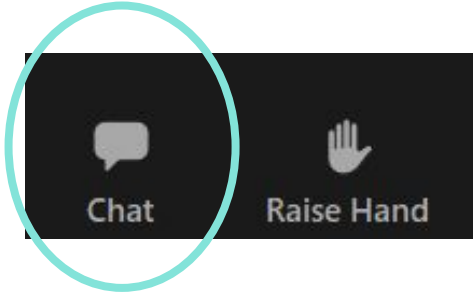
- Before meeting starts
  - Log on a few minutes **early**, if possible, to ensure your technical connection is working.
  - **Rename** your Participant Name to include your Organization (if applicable) & Pronouns
  - Share your **video** if possible – this fosters engagement and helps mimic an in-person meeting setting (will only be available for panelists).
- Throughout meeting
  - **Mute** yourself when you're not speaking.
  - **WG Members: Raise your hand** to enter the queue to speak—then wait for the Facilitator to call on you / prompt you.
  - **Closed captioning** available
- When to use the chat
  - Chat everyone: "+1", share resources, ask non-substantive questions,
  - Chat Suhaila: share anonymous concerns



# Public Input

## Welcome Members of the Public!

- You can participate by sharing feedback at any point in the meeting via the **chat**.



- Facilitators will elevate public feedback as and when appropriate.

# CAEECC's Groundrules

- Attend all meetings (or send designated alternate)
- Do your homework (complete pre-and post-meeting work to ensure productive meetings and that a complete deliverable is finalized)
- Facilitation team posts materials 5 days before the meeting
- If there are recommendations you don't agree with, propose alternatives or think creatively to try to bridge the gap

See Goals, Roles & Responsibilities for the full list of Ground Rules:

<https://www.caeec.org/caeec-info>



# Meeting Norms

- Make space, take space (share the mic).
- Stories shared here stay here; what is learned here leaves here.
- Share your unique perspective: share your unpopular opinion.
- Generative thinking: "yes, and" instead of "yes, but".
- Speak from the "I".
- Offer what you can; ask for what you need.
- Be curious.
- Assume best intent *and hold each other accountable to impact*.
- Be empowered to share impact.

*Creating a space of inclusion and diversity*

Anything to add? What ideas might you have to support flexibility and productive collaboration?

# Disclosures

**All Evolving CAEECC Working Group (WG) members shall disclose to the CAEECC Facilitator their financial linkages to other members of the Working Group.** Financial linkages to be disclosed, if any:

- A. For non-Program Administrator (PA) WG members: any existing contracts or subcontracts with a PA.
- B. For PA WG members: any contracts or subcontracts with non-PA Working Group participants.

Any person or entity paid to participate in the Working Group on behalf of another entity may only represent the entity providing compensation for their participation.

The above requirements do not apply to compensation received through the CAEECC Compensation Pilot or non-discretionary contracts, such as pass-through mandates.

Disclosed financial linkages will be posted to the CAEECC website. They are intended to inform and indicate any specific issues that may constitute a conflict of interest.

**If you have disclosures you have yet to communicate to the Facilitation Team, please email [suhaila@common-spark.com](mailto:suhaila@common-spark.com) by 6/22/23.**

# **What is CAEECC, the entity that is “evolving”?**

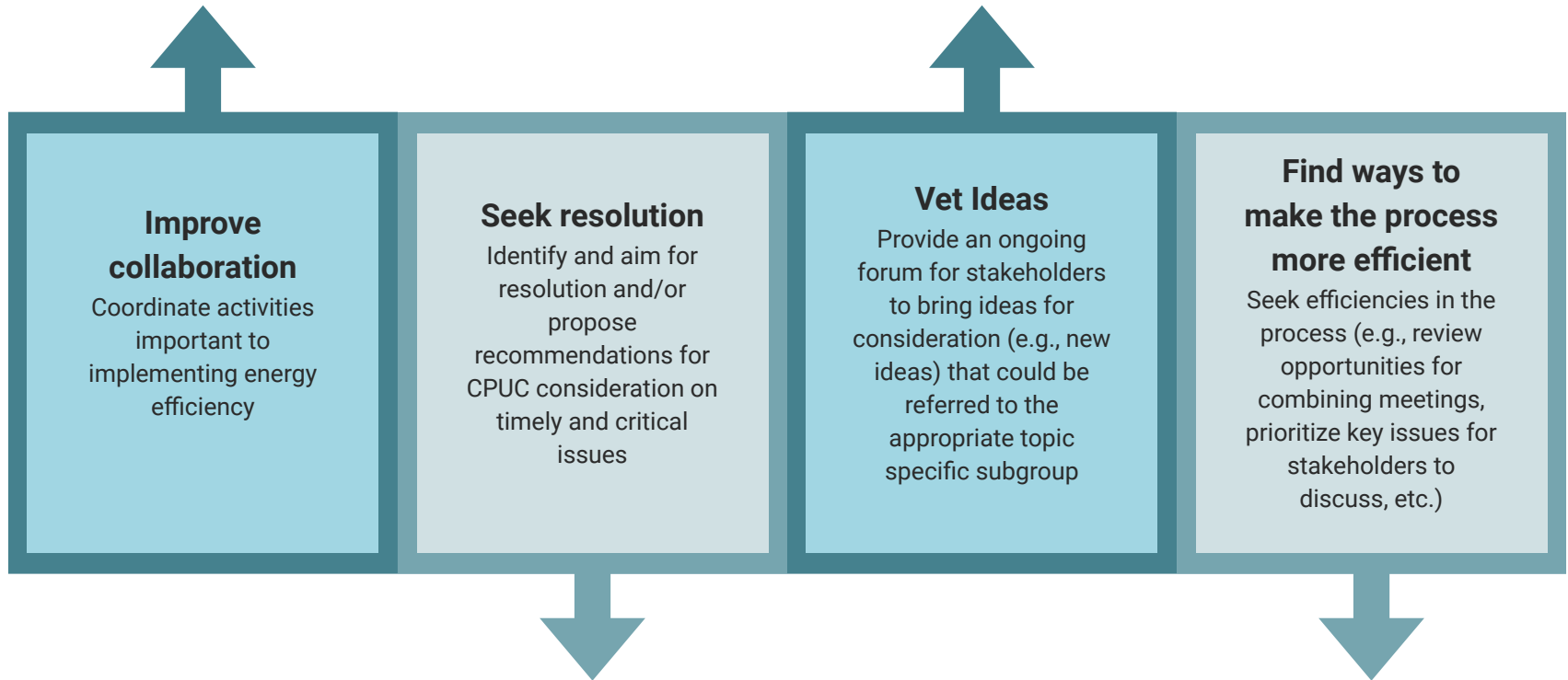
**California Energy Efficiency Coordinating Committee**

# CAEECC

**is a stakeholder collaborative which focuses on “market rate” energy efficiency** under the purview of the California Public Utilities Commission (CPUC).\* This collaborative provides a venue to openly discuss matters raised by the CPUC or by CAEECC Members through a transparent process. CAEECC provides recommendations that can influence and/or impact energy efficiency policy decisions for market rate energy efficiency programs. Members include advocates, implementers, government staff, and Program Administrators.

*\*CAEECC does not focus on income-qualified energy efficiency*

# CAEECC is a venue to



# CAEECC does this through

1

**Support** the development and **expansion** of high-quality energy efficiency programs that reduce greenhouse gas emissions in line with state climate and energy goals while responding to customer needs and market dynamics

2

Provide meaningful and useful **input** to the PAs in the development and implementation of their energy efficiency business plans

3

**Improved collaboration** and communication among parties and with the California Public Utilities Commission (CPUC) on energy efficiency matters

4

**Resolve disagreements** among stakeholders whenever possible to reduce the number of matters that need to be litigated before the CPUC

**The California Public Utilities Commission (CPUC)** oversees and governs energy efficient programs (and finances) through regulatory avenues called “proceedings.”

**CAEECC's scope is to focus on the  
*Market-Rate Energy Efficiency*  
(R.13-11-005) proceeding.**



## **CAEECC's role is advisory only.**

The purpose of CAEECC is to advise the Program Administrators (PAs) and sometimes provide recommendations on a policy and/or process to the CPUC regarding how to direct PAs *if they so choose*.

# What is the Market-Rate Energy Efficiency Proceeding?

Energy efficiency (EE) is the use of less electricity or gas to perform the same task or produce the same result.

The Proceeding is a regulatory avenue that **governs the use of public funds**, specifically funds from ratepayers, **in energy efficiency programs run by CPUC-approved Program Administrators.**

The CPUC's role is to ensure that all ratepayer funds are well-used and that programs are in adherence to state climate goals.

# Key Language of the CAEECC world: Relevant Entities

**Community Choice Aggregator (CCA):** local entities that purchase and/or generate electricity for their community. IOUs continue to provide transmission, distribution, and gas services.

**CPUC:** commission tasked with regulating privately owned electric, natural gas, telecommunications, water, railroad, rail transit, and passenger transportation companies, in addition to authorizing video franchises.

**Regional Energy Network (REN):** local government entities that administer and implement EE programs to complement IOU programs. RENs typically focus on hard-to-reach areas and disadvantaged communities and fill regional gaps and needs.

**Investor-owned Utility (IOU):** private monopoly utilities that generate and/or distribute power to customers within their defined service territory.

# Key Language of the CAEECC world: Relevant Concepts

**Program Administrator (PA):** Entities that design and implement and/or administer third-party run EE programs. PA refers to IOUs, RENs, or CCAs who the CPUC has approved to run energy efficiency programs using ratepayer funding.

**Program Implementer:** Entities that implement EE programs (historically was IOUs, now is mostly third-party contractors)

**Third Party (3P) Contractors:** Entities independent from IOUs, RENs, or CCAs that design and/or implement energy efficiency programs

**Solicitations:** PAs frequently conduct open calls for third-party contractors to design and/or implement energy efficiency programs.

**Ratepayer:** residential, commercial, agricultural, and industrial customers who pay for services from their electric and gas providers.

**Ratepayer Funds:** funding collected from ratepayers via their utility bill for state programming. For EE, this is the Public Purpose Program Surcharge.

**CAEECC provides a unique opportunity to be in facilitated dialogue** with CPUC staff, Program Administrators, third party Implementers, environmental and customer advocates, and other impacted stakeholder **about the design, implementation, and evaluation of market-rate energy efficiency programs** as well as to improve related policies.

# CPUC-regulated EE programs

There are many types of energy efficiency programs - including ones funded through government agencies, private companies, and nonprofits.

**CAEECC focuses on (non ESAP) EE programs approved by the CPUC.** These EE programs are design so anyone can participate in programs regardless of income level, and are intended to accelerate adoption of EE across the market.

The **Energy Savings Assistance Program (ESAP, A.19-11-003 et al.)** is an income-qualified, no-cost-to-consumer energy efficiency upgrades program. Its eligibility is determined by California Alternate Rates for Energy Program (CARE) qualification and/or similar state assistance program eligibility requirements.

*CAEECC takes into account Energy Savings Assistance Program to ensure coordination and alignment where necessary, **but does not influence ESAP proceedings.***

# Does CAEECC touch anything low-income/underserved related?

Yes, but not through ESAP and only through an advisory role.

The Market Rate EE Programs have what's called an '**Equity Segment**' to provide EE to hard-to-reach or underserved customers and disadvantaged communities in advancement of the Commission's Environmental and Social Justice (ESJ) Action Plan.

The Equity Segment can target low-income customers (only for things that are not covered by ESAP): **primary goal is to increase access to EE programs among segments of the population that have historically not participated** in those programs for various reasons such as lack of up-front capital or language barriers.

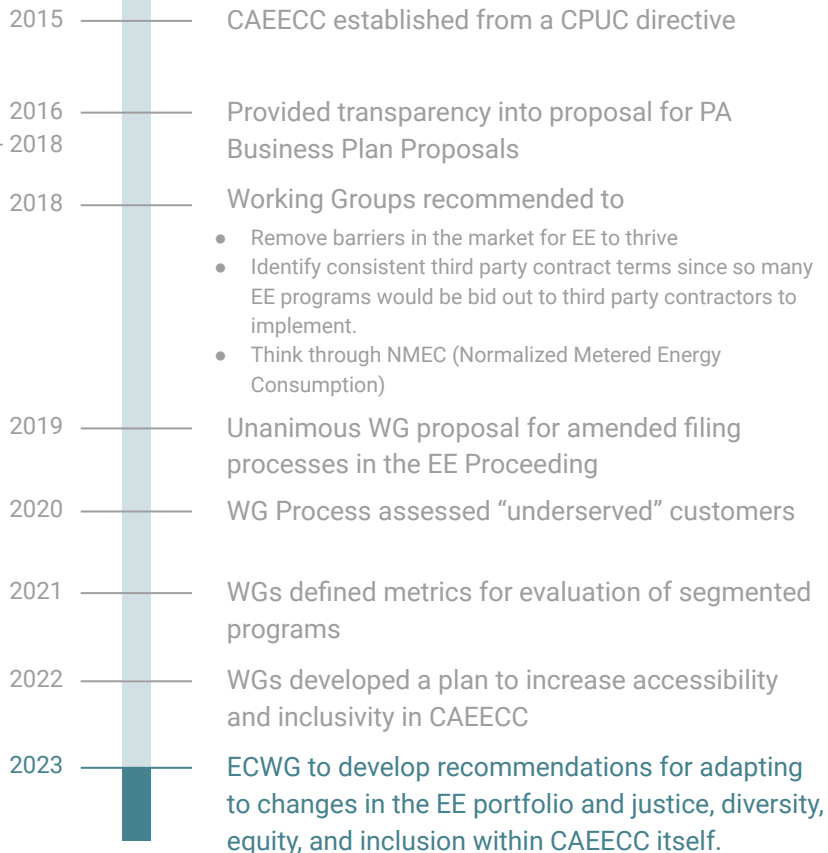
*This Equity Segment aims to reach those that are ineligible for income-qualified programs (ESAP) but cannot yet afford to participate in energy-saving programs.*

# How does CAEECC work?

**Full Quarterly CAEECC Meetings:** primary space for CAEECC to convene as a member group. They occur four times a year and are generally 4-6 hours long. Recently, the Facilitation Team has included two main sections of the meeting: a Main and Optional Assembly where members are expected to join the Main Assembly with pertinent information and may join the Optional Assembly with similar and relevant information.

**Working Groups / Subcommittees:** topic-specific series-long efforts to dissect, discuss, and make recommendations around one specific issue area.

**Workshops:** one-off topic-specific events to dissect and discuss a given issue area.



A vertical timeline on the right side of the page, with a light blue bar on the left and a dark teal bar at the bottom. Horizontal lines connect the years to the corresponding text descriptions.

|             |  |
|-------------|--|
| 2015        | CAEECC established from a CPUC directive   |
| 2016 - 2018 | Provided transparency into proposal for PA Business Plan Proposals   |
| 2018        | Working Groups recommended to <ul style="list-style-type: none"><li>• Remove barriers in the market for EE to thrive</li><li>• Identify consistent third party contract terms since so many EE programs would be bid out to third party contractors to implement.</li><li>• Think through NMEC (Normalized Metered Energy Consumption)</li></ul> |
| 2019        | Unanimous WG proposal for amended filing processes in the EE Proceeding  |
| 2020        | WG Process assessed “underserved” customers  |
| 2021        | WGs defined metrics for evaluation of segmented programs   |
| 2022        | WGs developed a plan to increase accessibility and inclusivity in CAEECC   |
| 2023        | ECWG to develop recommendations for adapting to changes in the EE portfolio and justice, diversity, equity, and inclusion within CAEECC itself.  |



# How is CAEECC membership structured?



**4**

## ADVOCATE MEMBERS

- Natural Resources Defense Council
- Labor Management Cooperation Committee
- Sheet Metal Workers Local 104
- Small Business Utility Advocates

**5**

## IMPLEMENTER MEMBERS

- California Energy Efficiency + Demand Council
- Center for Sustainable Energy
- CodeCycle
- San Joaquin Valley Clean Energy Organization
- The Energy Coalition

**5**

## GOVERNMENT MEMBERS

- California Air Resources Board (ex officio)
- California Energy Commission (ex officio)
- California Public Utilities Commission (ex officio)
- Local Government Sustainable Energy Coalition
- San Francisco Dept of Environment

**10**

## PROGRAM ADMINISTRATOR MEMBERS

- Community Choice Aggregators (2): MCE and RCEA
- Investor-owned Utilities (4): PG&E, SCE, SDG&E, and SoCalGas
- Regional Energy Networks (4): 3C-REN, BayREN, I-REN, and SoCalREN

# **Why we're here: Evolving CAEECC WG (ECWG)**

# Why is CAEECC taking on this evolution process?

1

CAEECC was created in 2015 to establish a venue for stakeholders to engage on energy efficiency. **It was created with the assumption that those who engage would be well versed with extensive technical experience in energy efficiency.** However, much has shifted in terms of policies that impacts the scope of CAEECC.

2

CAEECC recognizes the importance of aligning this influential stakeholder venue to the **values upheld in the CPUC Environmental and Social Justice Action Plan.** And through that, be inclusive.

3

CAEECC currently does not include any language/guidance pertaining to justice, equity, diversity, or inclusion efforts in its purpose, scope, or structure. And as such, **recognizes that the current format of CAEECC may, in fact, hinder the goal of inclusion.** Additionally, CAEECC's scope doesn't reference the state's climate goals.

# CPUC ESJ Action Plan

The Environmental and Social Justice (ESJ) Action Plan (2.0) is to guide CPUC decisions and make sure its broad regulatory authority continues to advance equity throughout the state.



The CPUC is tasked with serving all Californians, and to do so effectively, it must acknowledge that some populations in California face higher barriers to access to clean, safe, and affordable utility services. To fulfill its mission, the **CPUC acknowledges it must focus on communities that have been underserved**, as this plan outlines. Additionally, as the CPUC fulfills the goals and objectives listed in this ESJ Action Plan and improves its ability to serve ESJ communities, the CPUC will become even more transparent, accessible, and effective for all the communities it serves.



# What led to the Evolving CAEECC WG?

## Composition, Diversity, Equity, & Inclusion (CDEI) WG

A working group from January - April 2022 that sketched out draft Prospectus for ECWG. Final recommendations are available at: [caeccc.org/cdei-working-group](https://caeccc.org/cdei-working-group)



## Compensation Task Force

A task force built off the CDEI WG that proposed a path to compensate future members of the Evolving CAEECC WG. Final recommendations available at: [caeccc.org/compensation-task-force](https://caeccc.org/compensation-task-force)



## Evolving CAEECC WG

This working group that will guide CAEECC's scope + purpose + objectives and suggest inclusionary CAEECC policies.

**The Evolving CAEECC Working Group will help align the CAEECC Purpose, Objectives, Structure, and Processes** as reflected in its governance policies, with CPUC and state goals around justice, equity, diversity, and inclusion, and evolving needs of the EE Portfolio.

# What power does the ECWG have to make actual changes in CAEECC?

This Working Group may provide recommendations to amend the operating Groundrules, Scope and Priorities, as well as processes of the CAEECC. This could have impacts on representation, power dynamics, processes, etc.

To do this, ECWG will develop a report of recommendations that are then presented to the Full CAEECC Membership for a vote of adoption

CAEECC Members may adopt recommendations as-is, suggest modifications, or not adopt.

It is anticipated that the ECWG's recommendations may also be submitted to the CPUC in the event that either the Full CAEECC doesn't come to consensus on all recommendations, or if recommendations are outside their scope (such as amending CAEECC's purpose for an official change in some of CAEECC's authorizing language).

While this may not seem direct to community impacts, CAEECC is an influential forum for program design, policy, and other processes related to *expanding energy efficiency and its benefits throughout California* and thus indirectly affects energy efficiency access in communities.

# Will the CPUC actually act on ECWG recommendations?



Commissioners at the CPUC have shown great interest in the evolution of CAEECC, in particular in **making CAEECC an inclusive environment.**



As CAEECC is also a stakeholder body with CPUC oversight, it **should to align with relevant goals of the CPUC's ESJ Action Plan** - for example, Goal 5: Enhance outreach and public participation opportunities for ESJ communities to meaningfully participate in the CPUC's decision-making process and benefit from CPUC programs.



While we cannot predict how the Commission will respond to the proposals from the group, we will have **CPUC Energy Division (ED) representation** to ensure any recommendations are within scope and feasible.



# How will ECWG be conducted?



CAEECC strives for **consensus-based** recommendations in its working groups. In the absence of consensus, the Facilitators will track which WG members are not in agreement.



This working group will, over the course of its engagement, **create a Final Report** within which each WG Member will be asked for consensus on a recommendation, or to provide forth an alternative recommendation option. The Final Report will delineate which recommendations are agreed to by consensus (i.e., everyone supports it), and which are non-consensus recommendations.



The WG also has a **Leadership Team** that will support the needs of WG members through this process.

**The final deliverable for ECWG is a Final Report for CAEECC that provides recommendations for its evolution.**

# Meeting Each Other

# Who is on ECWG and what are their intentions?

- To make the system more equitable/ promotes the goals of equity, diversity, and inclusion / ensure that energy efficiency offerings are designed to meet the needs of all customers / not replicate the drawbacks of historical energy systems (12)
- To contribute to the leadership of energy efficiency / be represented in the development of policies and programs (12)
- To bring underheard perspectives to the discussion / To re-think and re-learn processes to fully access the critical solutions diverse voices and experiences offer (11)
- To provide feedback on how the public engages with CPUC proceedings and outcomes
- To holistically connect load flexibility research and policy in California with energy efficiency efforts
- To align CAEECC to the justice, equity, diversity, and inclusion with local municipalities and community-based organizations

# Your Facilitators

## Michelle Vigen Ralston

- Principal and Founder of Common Spark Consulting providing facilitation, energy policy research and advising deeply steeped in a strong energy equity and justice mission
- Lead facilitator for CAEECC Working Groups
- CAEECC Alum, former staff of CEDMC
- Lives in Berkeley, CA with husband and two little ones, Elliott and Mina

## Suhaila Sikand

- Consultant at Common Spark Consulting
- Supporting facilitator for CAEECC Working Groups
- Lives in San Francisco, CA with her rad roommates and sunset view

## Your ECWG Leaders

**What:** A small cohort of folks selected by the Facilitation Team with a mission to keep this WG on track as well as provide a secondary avenue for WG feedback and inclusion.

**How:** Monthly meetings, check ins with ECWG Members.

**Why:** Foster community, increase collaboration and productivity.



## Nicole Milner

(she/her)

**My intention as an ECWG Leader:** I consider myself a connector, I like to connect dots and facilitate understanding through servant leadership.

**A little about me:** I started hiking in 2020, even though I do not like bugs, and now I have a hiking club that hikes almost every weekend.

*Representing herself*



## Evan Kamei

(he/him)

### **My intention as an ECWG Leader:**

Enable inclusive, representative participation to support the goals of the working group.

### **A little about me:**

I coach the UC Berkeley Boxing Team! Go Bears!

*Representing Energy Solutions*





## Fabiola Lao

(she/her)

### **My intention as an ECWG Leader:**

Help serve as a bridge between members and non-members as we embark on this new chapter for CAEECC.

### **A little about me:**

I played the alto sax through college, and I hope to get back to it this year.

*Representing Center for Sustainable Energy*



Let's go around the room:

**What's 1 thing you offer and 1 thing you seek with your participation in this WG?**

# Folks in ECWG who couldn't be here today

## **Kelsey Jones, SJVCEO (she/her/hers)**

- Intention: to continue the initial work done by the CAEECC CDEI WG and learn how to implement inclusive practices within CAEECC and my own organization.
- Offer: futuristic mindset, meaning I want to tie the things I learn to tangible actions for the future.
- Seek: to deepen my learning and understanding of the regulatory space and how to embed inclusivity within it

## **Lara Ettenson, NRDC (she/her)**

- Intention: to collaboratively develop solutions to the barriers that limit stakeholders from engaging on these issues so that we can improve the offerings and reach of equitable efficiency and decarbonization programs.
- Offer: deep historic energy efficiency knowledge as well as problem solving thinking
- Seek: to learn from colleagues about their experiences and ideas so we can work together to figure out solutions.

# Folks in ECWG who couldn't be here today

## **Evan Kamei, Energy Solutions (he/him)**

- Offer: an implementation lens with a basis in implementing TECH Clean California, which has a goal of spending 40% of its \$170M in funding on equity customers and focuses on incentive layering with other programs.
- Seek: to better understand our industry's views on justice, equity, diversity, and inclusion in California and how that can be integrated into our strategy for TECH Clean California

**The Facilitation Team commits to allocating a few minutes at each meeting to get to know each other better. We're calling it "ice-breakouts" for small group time with other ECWG members.**

# **Working Together Productively**

**Compensation Pilot Grantees will be compensated for the activities presented over the next few slides.**

For questions, please join the Compensation Pilot Walkthrough at the end of this meeting

# Draft Prospectus Phase 1

- Purpose, Objectives, Scope of CAEECC
  - Any changes related evolving needs of the EE Portfolio.
  - Any justice, equity, diversity, and/or inclusion matter relevant to CAEECC's purpose.



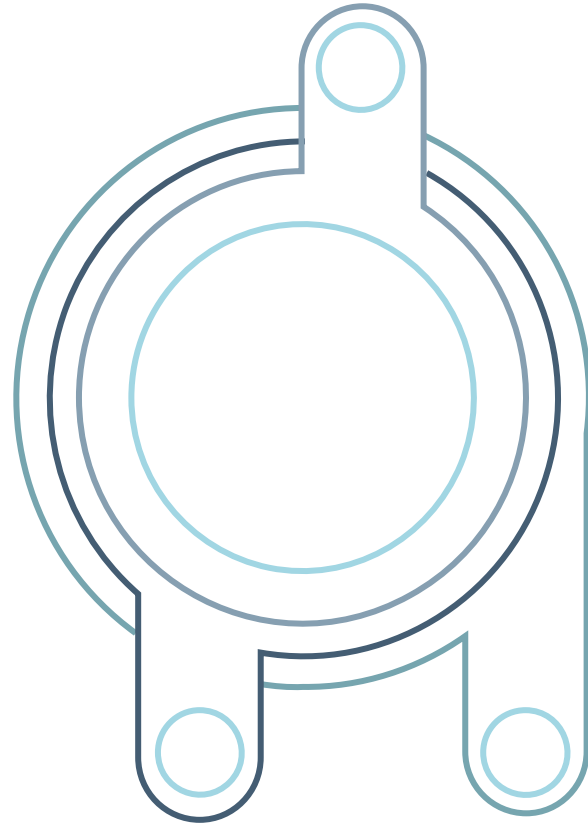


# Draft Prospectus Phase 2

- Composition/Representation and Eligibility (CAEECC and WGs)
  - Consider recommendations in Section 6 of the Final CDEI WG Report, including eligibility for CAEECC members and subsequent Working Group members, application processes, and conflict of interest.
  - Consider how CAEECC can best engage communities not engaged/represented as a CAEECC member in addition to ensuring authentic representation of impacted communities in CAEECC.
- Compensation
  - Consider the outcomes of the [Compensation Task Force](#) and inform the Compensation Pilot as recommended, along with outstanding recommendations from Section 2 of the Final CDEI WG Report, which recommend Compensation for eligible participants for certain CAEECC activities (to be determined).
- Competency Building
  - Consider recommendations in Section 3 of the Final CDEI WG Report.
- Recruitment & Retention
  - Consider recommendations in Section 4 of the Final CDEI WG Report.

## Draft Prospectus Phase 3

- Facilitation
  - Consider the initial thinking from the Final CDEI WG in Section 5 of the CDEI WG Report.
- Accountability & Reporting
  - Consider how to evaluate progress and effectiveness of the implementation of this WG's recommendations.
- Additional topics
  - Think holistically about intersectional ideas across the CDEI Working Group and CDEI mini team recommendations.



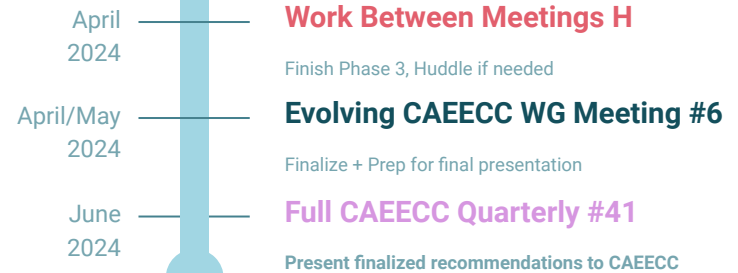
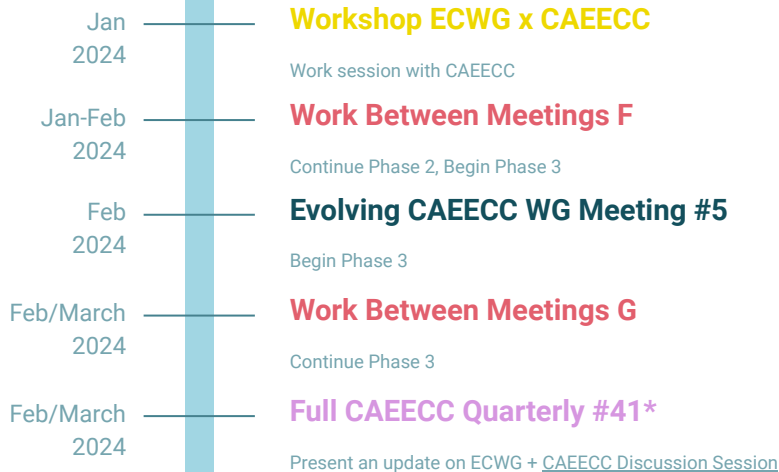


poll

# What's the timeline of the Evolving CAEECC WG?



# What's the timeline of the Evolving CAEECC WG?



# Adding Flexibility into the Process

- Flexible homework (i.e. commenting in Google Docs, surveys/comment boxes, etc.)
- Any Huddles won't be required, but encouraged for working through questions and proposing alternatives
- Full CAEECC Meetings aren't required for members, but *some are highly encouraged*, particularly the ones with a "\*" as it will likely include a ECWG Work/Discussion section
- Public workshops (if applicable) will be optional
- Check-ins with ECWG Leaders/Facilitation team to talk through this process
- *What quick ideas do you have? Will also provide an opportunity to hear any other needs or preferences in follow up work*

# Wrapping Up

*If you're a Compensation Pilot grantee, we will have a walkthrough after this session*

## What to expect next

### **Work Between Meetings B:**

An email will be sent out by June 23 with links to this meeting follow-up materials and the homework.

**Next Meeting Date: August 3  
9:30am - 1:30pm PT**

### **Evaluations:**

Suhaila will send out a survey to all participants about this meeting and the process thus far. These are due by 6/23.

### **Onboarding Presentation:**

Suhaila will be publishing an on-demand video in July for ECWG Members to review. The video will present the information in the Onboarding Slide Deck and cover any additional questions raised today.

# Meeting Survey

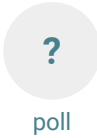


Evals will be sent by Suhaila  
([suhaila@common-spark.com](mailto:suhaila@common-spark.com))



Complete evals **today**, latest by  
June 22, 2023 COB





# Live Meeting Evaluation - A quick glance

**Do you feel this was an inclusive and trusting environment?**

Not at all safe ..... Somewhat safe ..... Very safe

**Do you feel the meeting was effective?**

Not at all effective ..... Somewhat effective ..... Very effective

**What worked well? How can we improve?**

# **STRETCH BREAK**

**adjournment of meeting for all non-Compensation Pilot Grantees**

**Next Meeting August 3 (9:30am - 1:30pm PT)!**

# Compensation Pilot Walkthrough

*This is a closed session for Compensation Pilot Grantees only.*

**THANK YOU.**

**Next Meeting August 3 (9:30am - 1:30pm PT)!**