Proposed Intersecting New Roles & Responsibilities on **Enforcement** of CAEECCC Groundrules, Roles, and Responsibilities

Draft 3/10/2021 – for review at 3/17/2021 Full Quarterly CAEECC Meeting

**CAEECC Members**

1. Members who feel that a groundrule or role and responsibility is not being properly adhered to by a Member or Co-Chair, should bring the matter directly to the Member or Members of concern and to the Facilitation Team.
2. Members who feel that a groundrule or role and responsibility is not being properly adhered to by the Facilitation Team, should bring the matter directly to the Facilitation Team and to the Co-Chairs.
3. In the event that a Member feels that a matter has not been sufficiently addressed after bringing such issue to the Facilitation Team or Co-Chairs, respectively, the Member can then bring the matter to the Commission (through the lead Energy Division staff member).
4. Members, co-chairs, the facilitation team and Commission staff are encouraged to document oral conversations in writing to allow for a record of the communications.

**CAEECC Facilitation Team**

1. Enforce all groundrules; and respond to and endeavor to rectify any Member assertions that other Members (including the Co-Chairs) have not followed the groundrules or their respective roles and responsibilities as described in this document.

**CAEECC Co-Chairs**

1. Respond to and endeavor to rectify Member assertions that the Facilitation Team has not followed the groundrules or their roles and responsibilities as described in this document.

**Commission Staff**

1. Address any Member, Co-Chair, or Facilitation Team formal complaint (submitted in writing) regarding another Member, Co-Chair, or Facilitation Team who has not followed the groundrules or their respective roles and responsibilities that has not been rectified directly and sufficiently through the CAEECCC processes outlined above. The Commission may address each such formal complaint in a manner chosen by the Commission.