CAEECC Quarterly

October 19, 2022 | 9:00 - 1:00 PM PT

Hosted by California Energy Efficiency Coordinating Committee (CAEECC)

Note: Text in blue represents text changed as live slide edits during the meeting

Agenda

9:00	Welcome, Agenda, Introductions & Housekeeping
9:10	**Session 1: Working Group Updates**
10:25	*Break*
10:35	**Session 2: Evolving Scope of CAEECC Discussion**
11:25	**Session 3: Metrics Workshop Update**
11:55	*Break*
12:05	**Session 4: General Updates & CAEECC Planning**
12:45	Adjourn

Introductions

What’s an autumn pastime you’re looking forward to?

In the chat, please introduce yourself with your:

- Name and pronouns
- Organization
- Answer to the Icebreaker question

Housekeeping

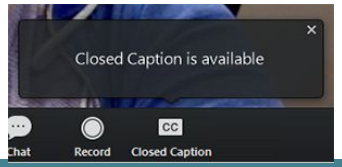
- Zoom etiquette
- Public Input
- Meeting Norms and Ground Rules
- Facilitation & Co-Chair Updates

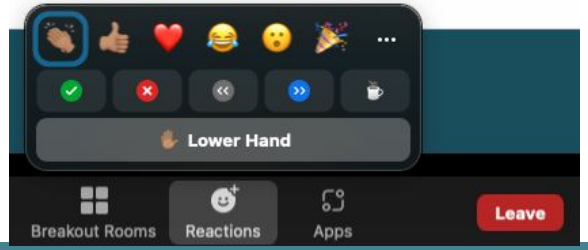
Zoom Etiquette

- Before meeting starts
  - Log on a few minutes **early**, if possible, to ensure your technical connection is working.
  - CAEECC **Members** will be promoted to panelists; all other **attendees will be in listen-only** mode but able to raise their hand to speak when invited.
  - **Rename** your Participant Name to include your Organization & Pronouns
  - Share your **video** if possible – this fosters engagement and helps mimic an in-person meeting setting.

- Throughout meeting
  - **Mute** yourself when you're not speaking.
  - **Raise your hand** to enter the queue to speak—then wait for the Facilitator to call on you.
  - Piloting **closed captioning**

- When to use the chat
  - Chat everyone: "+1", share resources, ask non-substantive questions,
  - Chat Suhaila: share anonymous concerns
  - CAEECC Members asked to raise their hand and speak if they have substantive input





Public Input

Welcome Members of the Public!

- You can participate by sharing feedback at any point in the meeting via the **chat**.
- Facilitators will elevate public feedback as and when appropriate.
    - Two dedicated Public input opportunities as indicated on the agenda: Sessions 2 on Evolving Purpose, and Session 3 on Metrics/CPUC Scoping Memo)
    - Email susan@raabassociates.org with subject line “Public comment” before 10:45 (for session 2) and 11:45 (for session 3), and indicate which topic you’d like to speak to, so she can unmute you and add you to the queue

CAEECC's Ground Rules

- Attend all meetings (or send designated alternate)
- Do your homework (complete pre-and post-meeting work to ensure productive meetings and that a complete deliverable is finalized)
- Facilitation team posts materials 5 days before the meeting
- If there are recommendations you don't agree with, propose alternatives or think creatively to try to bridge the gap

See Goals, Roles & Responsibilities for the full list of Ground Rules: https://www.caeecc.org/caeecc-info

Meeting Norms

- Make space, take space (share the mic).
- Stories shared here stay here; what is learned here leaves here.
- Share your unique perspective: share your unpopular opinion.
- Generative thinking: "yes, and" instead of "yes, but".
- Listen from the "We", speak from the "I".
- Offer what you can; ask for what you need.
- Be inquisitive.
- Assume best intent *and hold each other accountable*.
- Be empowered to share impact.

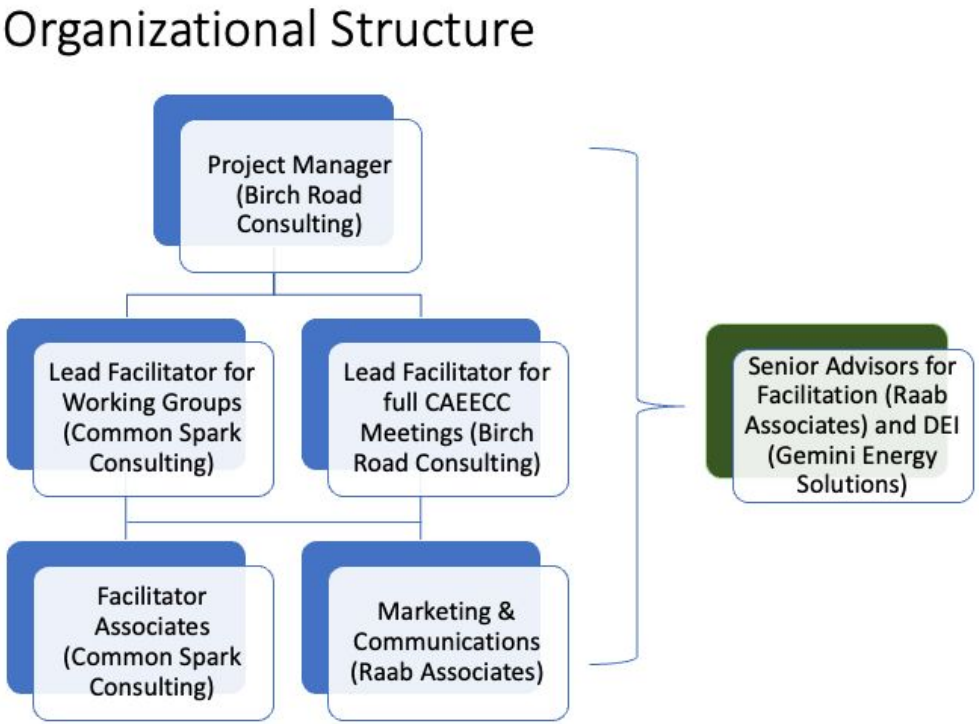
Creating a space of inclusion and diversity

Facilitation and Co-Chair Updates

- Facilitation Team Update
  - Anthony Kinslow (Gemini Energy Solutions) is CAEECC's Senior Advisor on Diversity, Equity & Inclusion
- Co-Chair Update
  - Lara Ettenson (NRDC) has returned to the non-Program Administrator seat (Jenny Berg was serving as her interim proxy summer 2022)
  - Lucy Morris (PG&E) is continuing as the Program Administrator seat

Welcome Anthony! Welcome back Lara! And thank you Jenny!

Facilitation Team Organizational Structure



Team

- Birch Road Consulting (Katie Abrams)
- Common Spark Consulting (Michelle Vigen Ralston, Suhaila Sikand, and others)
- Raab Associates (Jonathan Raab and Susan Rivo)
- Gemini Energy Consulting (Anthony Kinslow II)

Session 1: Working Group Updates

Goal: present updates from the JEDI-focused Working Group and Compensation Task Force

1. Justice, Equity, Diversity, and Inclusion (JEDI)-focused WG
    a. Prospectus *(to be reviewed for approval at the 11/30 CAEECC meeting)*
    b. Proposed calendar for WG launch

2. Compensation Task Force Recommendations: Review and seek Member approval

Justice, Equity, Diversity, & Inclusion (JEDI)-focused WG

Background: Successor to the Composition, Diversity, Equity & Inclusion (CDEI) Working Group.

Draft Charge: Align the CAEECC Purpose, Objectives, Structure, and Processes as reflected in its governance policies, with CPUC and state goals around justice, equity, diversity, and inclusion. In addition, this WG is charged to recognize climate goals and the use of energy efficiency to support carbon goals, and health inequities.

Timeline:

- 10/19 Q3 CAEECC Meeting: Initiate CAEECC discussion on Evolving Scope of CAEECC (Session #2) to provide the JEDI-focused WG a starting point in its work
  - Compensation TF Final Report for review and approval by CAEECC
  - Within 30 days (11/18), file Motion seeking use of EE funds for Compensation Pilot for JEDI-focused WG
- 11/30 Q4 CAEECC Meeting: Work Group prospectus for review and seek approval by CAEECC Members
- TBD (optimistically 45-90+ days after filing): CPUC Approval of use of funds for Compensation Pilot
  - Begin outreach and recruitment for JEDI-focused WG
- TBD (6-8 weeks after CPUC Approval): Launch of JEDI-focused WG, first meeting scheduled

Compensation Task Force

About the Compensation Task Force

Charge: Develop a compensation mechanism for eligible members to pilot for a future Working Group focused on CAEECC's Justice, Equity, Diversity, and Inclusion (JEDI) efforts. While the Compensation TF's scope will focus primarily on funding for the JEDI-focused WG, if relevant, its recommendations could inform funding for other CAEECC activities.

Timeline: 4 meetings (through October 2022) and recommendations presented for approval by CAEECC in October 2022

CAEECC Interaction: Updates were provided to CAEECC at each Full CAEECC Meeting. **CAEECC Member review and approval will be sought today.**

More Information + Final Report available at: www.caeecc.org/compensation-task-force

How the Compensation TF fits into CAEECC's endeavors

CDEI Working Group

A working group from January - April 2022. Final recommendations are available at: caeecc.org/cdei-working-group

Compensation Task Force

This task force builds off the CDEI WG to help compensate future members of the JEDI-focused WG

JEDI-focused Working Group

A future working group that will weigh in on CAEECC's scope moving forward and suggest inclusionary CAEECC policies.

Key Questions for the Comp Task Force

1. What **funding mechanisms**, if any, are recommended considering the timing and purpose of the future JEDI-focused Working Group?
2. What are the **eligibility requirements** for applicants?
3. What **activities and expenses** are appropriate for funding (e.g., travel, staff time for attending meetings, preparation time, etc.)?
4. Should there be a **cap on the funding** (for individual members of a working group, and/or for an entire working group)?
5. What **additional guidelines** are needed, if any, regarding compensation for an eligible working group member or working group?
6. What is the **timeline** for getting compensation in place, and what is the TF's recommendation for how that impacts the recruitment & launch of the JEDI-focused Working Group?

Task Force Makeup

CAEECC Members	Organization	Lead
	3C-REN	Alejandra Tellez
	Association for Bay Area Governments	Jennifer Berg
	Code Cycle	Dan Suyeyasu
	Pacific Gas and Electric	Lucy Morris
	San Joaquin Valley Clean Energy Organizations	Kelsey Jones
	Southern California Edison (SCE)	Christopher Malotte & Kellvin Anaya
	Small Business Utility Advocates	Ted Howard
	Southern California Regional Energy Network (SoCalREN)*	Lujuana Medina
Non- CAEECC Members	American Eco Services	Nicole Milner
	Silent Running LLC*	James Dodenhoff
Ex-Officio	California Public Utilities Commission (CPUC)*	Stephanie Green, Jesus Torres, Nils Strindberg

** part of Composition, Diversity, Equity & Inclusion (CDEI) WG*

Evaluation Results from Task Force

Question Overview	Avg. Score
Goals and Objectives were clearly articulated.	**5.6**
Goals and Objectives were accomplished.	**5.5**
Homework was effective.	**5.4**
Presentations and Documents were clear and helpful.	**5.6**
Comp TF Members were flexible to seek outcomes.	**5.5**
Facilitator was effective.	**5.9**
Comp TF process successful.	**5.5**
Comp TF process was more valuable than CPUC Process.	**5.5**
Process was trusting and inclusive.	**5.5**
Timeframe	**75% Just right; 25% Too short**

Scores on a scale from 1 (strongly disagree) to 6 (strongly agree)

What's Happened So Far?

Pre-Work: Review Task Force Scope of Work and Charge; and review CDEI WG Final Report

Meeting #1 (6/8/22): Review Task Force Scope of Work and Charge; review compensation context from CDEI WG; and brainstorm initial recommendations

> **Key Outcome(s):** A modified scope to focus solely on the JEDI-focused WG.

Homework #1: Ideate Principles, Intentions, and Eligibility Criteria (PIE)

Meeting #2 (7/27/22): Adopt a set of PIE for compensation; prioritize possible funding sources; determine critical questions for compensation funding implementation processes

> **Key Outcome(s):** An initial set of PIE; agreement to use Energy Efficiency (EE) Budget Funds

Homework #2: Review Draft Application Sample, Application Review Process, Recruitment Strategies, Evaluation and Metrics; review PIE; and discuss pathways to unlock authorization for EE Budget Funds

Meeting #3 (9/7/22): Present pathway to unlock EE Budget Funds; adopt finalized PIE; and discuss Draft Recommendations

> **Key Outcome(s):** Facilitators to draft the Final Report for review by the Task Force; PIE adopted

Homework #3: Review Draft Final Report

Meeting #4 (10/4/22): Finalize Final Report

> **Key Outcome(s): Finalized Final Report submitted to CAEECC Member seeking review/approval.**

Key Terms Used in Recommendations

Compensation Task Force (Compensation TF, Task Force): the subcommittee of CAEECC that developed this report.

Compensation Pilot (Pilot): a pilot to compensate future members of the JEDI-focused WG who identify as historically underrepresented in CAEECC.

Composition, Diversity, Equity, and Inclusion Working Group (CDEI WG): a previous subcommittee of CAEECC that initially proposed a compensation model be available for all applicable CAEECC activities.

Energy Efficiency (EE) Budget Funds: funds from Public Purpose Programs Charge (PPPC) Public Benefits Charge allocated for energy efficiency (EE) programs administered by IOU, REN and CCA Program Administrators.

ESJ Community: a California Public Utilities Commission's (CPUC) defined term through the Environmental and Social Justice Action Plan (ESJ Action Plan). It is the intent of the Compensation Task Force to use the most up-to-date and inclusive definition.

JEDI-focused WG (Justice-, Equity-, Diversity-, and Inclusion-focused): a future subcommittee of CAEECC that will focus on ways to bring justice, equity, diversity, and inclusion to all CAEECC systems and activities.

Pilot Administrator: the entity that will implement the Pilot and its funding distribution process (distinct from EE Program Administrator)

Pilot Applicant: an individual/organization who applies for the Compensation Pilot

Pilot Recipient: an individual/organization who is granted and awarded compensation through the Pilot

Program Administrator: referring to the IOUs, RENs, and sole CCA, MCE, that administer energy efficiency programs.

Overview

CAEECC is seeking mechanisms to establish a more diverse, inclusive, and welcoming stakeholder group and process.

Members of the previous CDEI WG identified the challenges to invite groups and individuals to participate who have historically been an underrepresented part of CAEECC. These challenges include the time-intensive nature of CAEECC's activities and the lack of available staff capacity to engage. **Compensation was therefore suggested to help alleviate these barriers.**

This Task Force built a series of recommendations for CAEECC Member consideration that establish a pilot for compensation for members of the JEDI-focused WG. The following slides are summarizations of the recommendations–full language is in the report.

This Task Force acknowledges that the recommendations may be foundational to parallel compensation efforts at CAEECC or the CPUC.

Proposed Sequence of Events of Recommendations

For visual aid, the Task Force has compiled the following graphic to represent the sequence of tasks and events for the recommendations after the Motion for funding is approved (more on this up next).

**this graphic is not to scale*

Motion for Funding Approved

CPUC approves authorization of EE budget funds

Application Review Cohort Formation

Volunteers from the Compensation TF, CPUC ESJ Action Team, and CAEECC, may nominate themselves to a cohort led by the Facilitation Team for review of applications

Recruitment Period

Begin recruitment ideally 2-4 months before the JEDI WG is scheduled to begin

Compensation Pilot Application Review

Application Review Cohort approves eligible applicants on an on-going, first-come, first-serve basis.

JEDI-focused WG Application Opens with Compensation Pilot Application

JEDI WG Applications open with the Compensation Pilot Application as a subsection of the WG Application

JEDI-focused WG Application Review

Application review for the JEDI-focused WG may occur after Compensation Pilot Applicants are approved for compensation pending their acceptance into the JEDI-focused WG

JEDI-focused WG Begins

WG begins. Compensation Pilot Applications remain open until funds are maxxed or until halfway through WG.

Distribution of Compensation Funds

Distribution of funding through the Pilot to recipients continues through the CAEECC Facilitation Team Contract

Compensation Applications Close

Applications for the JEDI-focused WG are no longer accepted.

Compensation Pilot Evaluation

Data is gathered on the Pilot on the indicators, metrics, and additional data for the evaluation of the Pilot success

Recommendations Outline

- **A** Principles, Intentions, and Eligibility Criteria
- **B** Funding Source, Amount, and Reimbursable Costs
- **C** Administration of Pilot
  - **C.1** Pilot Administration and Oversight
  - **C.2** Application for Compensation and Application Sample
  - **C.3** Application Review Process
  - **C.4** Distribution of Funds
- **D** Recruitment
- **E** Pilot Evaluation

Compensation Task Force
Final Report and Recommendations

Presented by Lujuana Medina, Lucy Morris, and Jim Dodenhoff

Questions will be answered after this presentation

View Final Report: www.caeecc.org/compensation-task-force

A. Principles, Intentions, and Eligibility Criteria

In an effort to ground the Pilot to its mission and purpose, the Compensation TF created a set of **Principles, Intentions, and Eligibility Criteria (PIE)**.

- The **Principles** and **Intentions** are the guideposts for the Pilot, identifying why, how, and for whom this Pilot is intended to serve.
- The **Eligibility Criteria** are meant to ensure compensation funding is provided to remove a critical barrier to participation. The Eligibility Criteria are designed to support a flexible and accessible process to apply for and receive compensation so as to not discourage prospective applicants.

The PIE will be used by the Application Review Cohort

A. Principles of Compensation Pilot

1. Keep it simple to administer and operationalize.
2. Make it flexible (both in terms of access and process for reimbursement).
3. Be open to and value diverse skill sets, including lived/living experiences.
4. Strive for scalability and learning (through evaluation) for the whole of CAEECC.
5. Be empathetic to existing barriers for participation in CAEECC and identify easy-entry, accessible solutions.
6. Compensate for pre- and post-meeting work, and time that is spent on CAEECC-related activities, based on past CAEECC norms for pre and post-meeting work.
7. Both individuals and representatives of organizations may apply. It is important that it is clear whether someone is representing themselves, or whether they are a representative on behalf of an organization (e.g. Community-Based Organization). Eligibility rules might be tailored differently for individuals and organizations.

A. Intentions of the Compensation Pilot

1. Provide compensation to organizations/individuals who present financial need as a barrier to meaningful participation and contribution to CAEECC
2. Allow organizations/individuals to self-determine their financial need for compensation. Applicants will need to demonstrate financial need, but should have flexibility in how to document and demonstrate financial need.
    a. Documentation for application and upon disbursement of funds needs to be sufficient to meet fiscal reporting requirements by the PAs contributing funds from their budgets, and according to any CPUC requirements on pilot funds.*
3. Compensate at a standardized rate for each individual/organization that considers value of time, subject matter expertise (including lived and living experience and perspective) for a specified duration of activity, e.g., for the duration of a Working Group process/defined series of meetings.
    a. Recognize that some individuals may require financial support including but not limited to lodging, travel, food, family-care.**
4. Not requiring baseline knowledge of energy efficiency to participate in the JEDI-related WG compensation program; however, applying participants are encouraged to review background information EE (self-guided orientation through resources provided) and the CAEECC orientation in order to support meaningful participation.

* Documentation will depend on funding source requirements. Since participation may manifest in different ways (i.e., active participation, verbal comments, or silently learning and contributing via homework) documentation of contribution should be broad and flexible. Consider the use of polls, and other opportunities to document a base level of participation.
** self-defined family care

A. Eligibility Criteria of Compensation Pilot

Applicants must meet **all three** of the following criteria:

1. Individuals/organizations that can bring historically underrepresented perspectives, specifically those of DAC, ESJ Communities, LI households, LI communities/census tracts, tribal lands, HTR customers, and those with “lived experience” to inform the justice, equity, diversity, and inclusion principles of CAEECC. This intention does not require an individual be formally engaged in an organization that does this work, only that they can contribute such perspectives
2. Individuals/organizations have a demonstrated financial need in order to meaningfully participate. E.g. Participation would expose the individual/organization to financial hardship.
3. Individuals/organizations are committed to a WG or stated engagement process and make meaningful contribution(s). Standard expectations of commitment and proxy representation apply.

B. Funding Source, Amount, and Reimbursable Costs

- Pursue the **use of existing EE Budget Funds** already authorized by the CPUC for the PA's (IOUs, RENs, and MCE) EE portfolios.
  - Appropriate for EE portfolio goals
  - Timely access
  - Relative ease compared to grant funds, legislative allocations,
- Possibility of using the facilitation contract
- ED/IOUS determined using EE Budget Funds not specifically allowed with existing authorization - will **require specific budget authorization**

B.1 Funding Recommendation #1 | Funding Authorization

- SoCalREN shall submit a Motion (*see Final Report Appendix A: Motion Outline*) to R.13-11-005, attach Final Report
  - Motion shall be submitted within 30 days of CAEECC approval of the recommendations
- Requesting authorization to allocate unspent EE Budget Funds for the Pilot
- Co-funding
  - Preferred: All PAs shall seek a co-funding agreement for the Pilot
  - Should PA-wide coordination prove to be cumbersome or lengthy, the PAs may elect for the Pilot to be funded through an IOUs-only co-funding agreement.
- Any allocation to the Compensation Pilot shall count towards that PA's Equity segment spend.

B.2 Funding Recommendation #2 | Funding Amount

- Requesting up to $185,000 of unspent EE Budget funds for the Pilot
- Support ~10 recipients of compensation for JEDI-focused WG
  - 6 Working Group meetings and including attendance at up to 2 Full Quarterly CAEECC meetings and 1 ad hoc workshop or additional meeting, and required preparatory and follow-up work.
  - The full assumptions are documented in the Budget Estimation *(see Final Report Appendix B: Budget Proposal or Appendix Slides)*.
  - The actual number of participants will depend on the final Work Group process, time required, and actual funding needed per participant.

B.3 Funding Recommendation #3 | Reimbursable Costs

- Reimburse costs of activities in the illustrative categories established here:
- Actual reimbursement will require approval of the Administrator of the Compensation Pilot

- Travel costs, such as airfare, lodging, meals, mileage, parking.
- Self-determined family or childcare costs
- Medical or disability accommodation, if accommodation is unable to be provided by the Working Group
- Other direct and incremental expenses associated with in-person or virtual participation

C.1 Pilot Administration and Oversight

C.1.1 Recommendation #1 | Contracting PA: SoCalREN or PG&E will contract the funds

C.1.2 Recommendation #2 | Pilot Administrator:

- The Facilitation Team will administer the program, including distribution of funds
- May use for: recruitment, application review process, documentation of Pilot recipient eligibility, the amount and distribution of funds to recipients using an invoicing or other process, and the evaluation process

C.1.3. Recommendation #3 | Oversight: The CAEECC Facilitation Team to provide a Mid-Pilot Report and Final Pilot Report for all PAs and executive authority to track and review Pilot

C.1.4 Recommendation #4 | Administration Budget Cap: Up to 15% of authorized Pilot funds may be allocated to the Facilitation Team for administrative and program support activities

C.1.5 Recommendation #5 | Executive Authority: PAs will have the executive authority but will consult with CAEECC, CAEECC Co-Chairs, Energy Division, and the Facilitation Team

C.2.1 Application for Compensation and Sample Application Recommendation #1

- Adopts the Sample Application *(see Final Report Appendix C or Slides Appendix)* as a subsection of the JEDI-focused WG Member Application to be attached to it for ease of access.
- Compensation application available to and information provided for all WG applicants

C.3 Application Review Process

C.3.1 Recommendation #1 | Review Cohort:

- Made up of volunteers from the CPUC ESJ Team, Compensation TF, and CAEECC

C.3.2 Recommendation #2 | Timing:

- Open applications before launch of WG
- Rolling basis for review
- Review within 14 business days of application submission

C.3.3 Recommendation #3 | Acceptance:

- Batch basis {delete: First-come-first-serve basis} to eligible applicants
- Until the WG funding cap has been reached
- Eligible applicants must meet all Eligibility Criteria + Pilot's Principles and Intentions

C.4 Distribution of Funds

C.4.1 Recommendation #1 | Distributor: CAEECC Facilitation Team.

C.4.2 Recommendation #2: | Distribution: Two mechanisms for receiving funds:

- Standard Amount for Meetings or Activities: Pre-determined amount based on a reasonable assumed time and invoiced per meeting/activity.
- Additional Costs: Additional requested or required work not included in standard meeting or activity scope, and eligible reimbursable costs invoiced no more than monthly.

D. Recruitment

D.1 Recommendation #1 | Recruiters:

- Volunteers from the Compensation TF, CAEECC, and the CPUC ESJ Team, with the coordination support of the Facilitation Team,
- Conduct outreach to raise awareness about the Compensation Pilot.

D.2 Recommendation #2 | Outreach Process:

- Begin with the following list
- Facilitation team to provide a template

From the Pre-identified List of Prospective JEDI-focused WG Participants included in the CDEI WG Final Report

- Trade allies
- Unions (work/work implementation groups)
- Authorized Agents of IOU's and Implementers
- Youth, universities, and emerging professionals (including respective diversity groups)
- Consumer advocates like CalPA and TURN
- Environmental, Racial, and Social Justice groups like Greenlining, Rising Sun, and California Environmental Justice Alliance (CEJA)
- Other experts (e.g., other agencies)
- Advocacy groups whose mission is to promote and establish diversity in EE (similar to E2, ACEEE, etc.)
- A representative sample of customers
- Local Government Coalitions
- Community Based Organizations and/or aggregations of Community Based Organizations
- Local Government Climate Action Organizations
- Tenant right groups
- BIPOC specific groups
- Community Service District Latino Service Providers

Additional outreach ideas (beyond the Pre-Identified List above) may also come from Compensation TF contacts and suggestions *(see Final Report Appendix D)*.

D.3 Recruitment Strategy Recommendation #3 | Timeline

Begin outreach efforts upon

1) CAEECC Member adoption of these recommendations,

2) CPUC authorization of Pilot funds, and

3) the release of the JEDI-Focused WG Prospectus.

E. Evaluation

E.1 Recommendation #1 | Preliminary Criteria:

- Preliminary evaluation criteria to be finalized with additional input sought by the Pilot Administrator
- Results from any data collection and evaluation shall be provided in the Mid-Pilot Report and Final Pilot Report.
- These criteria, alongside the Principles, Intentions, and Eligibility, will help evaluate the success of the Pilot

Criterion 1: Diversity of perspectives included in JEDI-focused WG

A. Percentage (and absolute #) of JEDI-focused WG overall that is/represents new individuals, organizations, and perspectives/expertise to CAEECC
B. Percentage of JEDI-focused WG overall that is/represents a CPUC ESJ Community
C. Percentage (and absolute #) of members of JEDI-focused WG from a CPUC ESJ Community that is receiving Compensation Pilot funds
D. Percentage (and absolute #) of members of JEDI-focused WG that is new/represents a new stakeholder that is receiving Compensation Pilot funds

Criterion 2: Accessibility and ease of application process

E. Number of applicants for the Compensation Pilot
F. Rate of acceptance for Compensation Pilot

Criterion 3: Effectiveness of Outreach and Recruitment

G. Percentage of Compensation Pilot recipients from direct outreach efforts
H. Number of Compensation Pilot recipients from direct outreach efforts

E. Evaluation

E.2 Recommendation #2 | Additional Data:

Collect additional data to help inform and potentially improve the effectiveness of the Pilot.

By Pilot participants:

- How funds were used
- If any expenses were uncompensated

Number of declined offers from recruitment and reason why (if available)

E.3 Recommendation #3 | Not for Measurement:

Do not measure the following data points:

- The outcome of a Working Group
- A set of predefined productive contributions

Questions?

- **A** Principles, Intentions, and Eligibility Criteria
- **B** Funding Source, Amount, and Reimbursable Costs
- **C** Administration of Pilot
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Stretch Break

Join us back in 10 minutes

Session 2: Evolving Scope of CAEECC

Goal: present JEDI-focused WG with a listing of potential CAEECC scope & purpose for the WG to consider as part of their brainstorming

- Discuss and confirm key scope changes discussed at the June 2022 meeting.
  a. Facilitator and Co-Chair present context and summary from June CAEECC meeting
  b. Public input
  c. Member discussion

Recap from Q2 Presentation: Brief Historical Context

- CAEECC originated from a multi-party proposal, was authorized by D.15-10-028, and launched in 2016 to provide a collaborative venue for stakeholders to discuss energy efficiency matters under CPUC purview
- D.15-10-028 establishes a statewide coordinating committee for the purpose of stakeholder involvement in "business plan, Tier 2 advice letter budget filing, and implementation plan preparation."
- Over time, CAEECC has been used to:
  - Support the development and expansion of energy efficiency programs that reduce greenhouse gas emissions in line with state climate and energy goals while responding to customer needs and market dynamics
  - Provide input to the Program Administrators (PAs) in the development and implementation of their energy efficiency business plans
  - Improve collaboration and communication among parties and with CPUC on energy efficiency matters
  - Resolve disagreements among stakeholders whenever possible to reduce the number of matters that need to be litigated before the CPUC

CAEECC 2020 Role Proposal Timeline

- **CAEECC Consensus filing on the future CAEECC stakeholder role**
  - "Proposal for Improvements to the EE Portfolio and Budget Approval and Implementation Process" (April 24, 2020)
  - CAEECC stakeholder role = Section 8

- **CPUC Decision 21-05-031 (May 20, 2021)**
  - Section 5.2.9 @ p.48 re: CAEECC stakeholder role proposal
    - "While we are reluctant to include in this decision explicit and rigid requirement about how the CAEECC should do its work, we endorse the general strategic approach laid out in the CAEECC Proposal and encourage the program administrators and stakeholders to adhere to it as closely as possible."
  - Table 4. Adopted Schedule for Business Plans, Program Portfolios, Potential and Goals, and Technical Updates @ p.61
    - CAEECC Formal consultation every summer prior to filing mid-cycle Advice Letter or new portfolio filing.

- Both documents available on today's meeting page: https://www.caeecc.org/10-19-22-full-caeecc-mtg

CAEECC 2020 Role Proposal – Summary

1. Annual and semi-annual presentations on *key* Annual Report metrics at the full CAEECC on how the efficiency portfolios are performing (Sections 8.3.1 and 8.3.2 of CAEECC report)

2. Opportunities for CAEECC and non-CAEECC stakeholder engagement on significant issues/challenges/opportunities as they arise throughout the implementation of the portfolios (Sections 8.3.3, 8.3.4, and 8.3.5 of CAEECC report).

Recap: What CAEECC Has Specifically Accomplished

Forum to vet multi-year draft Business Plans and ABALs through Full CAEECC meetings

Convener of Working Groups to develop recommendations and new approaches on select topics

- Contract Terms (2018)
- NMEC (2018)
- Market Transformation (2018-2021)
- EE Portfolio Filing (2019-2021)
- Underserved (2020-2021)
- Market Support and Equity Metrics (2021)
- Composition-DEI (2022)

Venue for public workshops

- Topics: Standard & Negotiable Contract Terms/Workforce Standards, DEER Peak Savings Values, Disadvantaged Worker, NMEC/M&V, and Local Government Partnership Terms & Conditions

Recap: What Has Changed

- Commission requirement for increasing **3P program design and implementation** of IOU programs (IOUs have less influence over program design and implementation)
- Spinoff of **Market Transformation** to independent statewide entity - based on CAEECC recommendations/Commission decisions
- Change in the **Business Plan/Application processes and frequency** - based on CAEECC recommendations/Commission decisions
- Increasing **importance of JEDI** (Justice, Equity, Diversity, and Inclusion) issues – based on Commission commitment and CAEECC recommendations
- Increase in **number of Program Administrators**
- The transition to Market Transformation presents an opportunity to focus on the **intersection of technologies and Justice, Equity, Diversity, and Inclusion** (JEDI)

Note: black text was presented at the 6/22 Full CAEECC meeting; blue text represents Member discussion at that meeting

What Should CAEECC's Scope be Going Forward?

1. Body to vet Business Plans/Four Year Applications and/or just select related issues
    a. Annual or mid-cycle check-ins for 4 Year Business Plans
2. Vehicle to reach communities to gather input on equity segment and other matters
3. Forum for addressing important ad-hoc issues as they arise through working groups and workshops
4. Forum for important updates
5. Venue to share successes and lessons learned by comparing efforts with other California PAs
6. Need to determine role of JEDI and whether/how to coordinate with other programs such as ESA, reliability, and demand response.

Facilitator questions for discussion: 1) Anything missing?, 2) Anything you'd change or omit?

Evolving CAEECC Purpose & Scope: Summary for Input and Discussion

The following two slides were prepared by the Facilitator, based on Member, stakeholder, and ED discussion at past CAEECC meetings.

The goal is to present JEDI-focused WG with a list of initial thoughts on the CAEECC scope & purpose for the WG to consider as part of their brainstorming

Facilitators will present the summary, then solicit Public input, then Member discussion

Proposed CAEECC Purpose

From NRDC Summary of Coordinating Committee Requirements per D.15-10-028:

1. Provide an **ongoing forum** for stakeholders to bring ideas for consideration (e.g., new ideas) that could be referred to the appropriate topic specific subgroup;
2. **Leverage** what is working;
3. Identify and **aim for resolution** and/or **propose recommendations** for CPUC consideration on timely and critical issues;
4. Seek to **find efficiencies** in the process (e.g., review opportunities for combining meetings, prioritize key issues for stakeholders to discuss, etc.); and
5. **Coordinate activities** important to implementing a "rolling portfolio."
    a. Revise to subsume w/ scope #1

NRDC summary available "NRDC Summary of Coordinating Committee Requirements per D.15-10-028", available on today's meeting page: https://www.caeecc.org/10-19-22-full-caeecc-mtg

Facilitator questions for discussion: 1) Should DEI be added, 2) Should #5 focus on "4-year Application/ Business Plans" instead of "rolling portfolio"

Recap: What Should CAEECC's Scope be Going Forward?

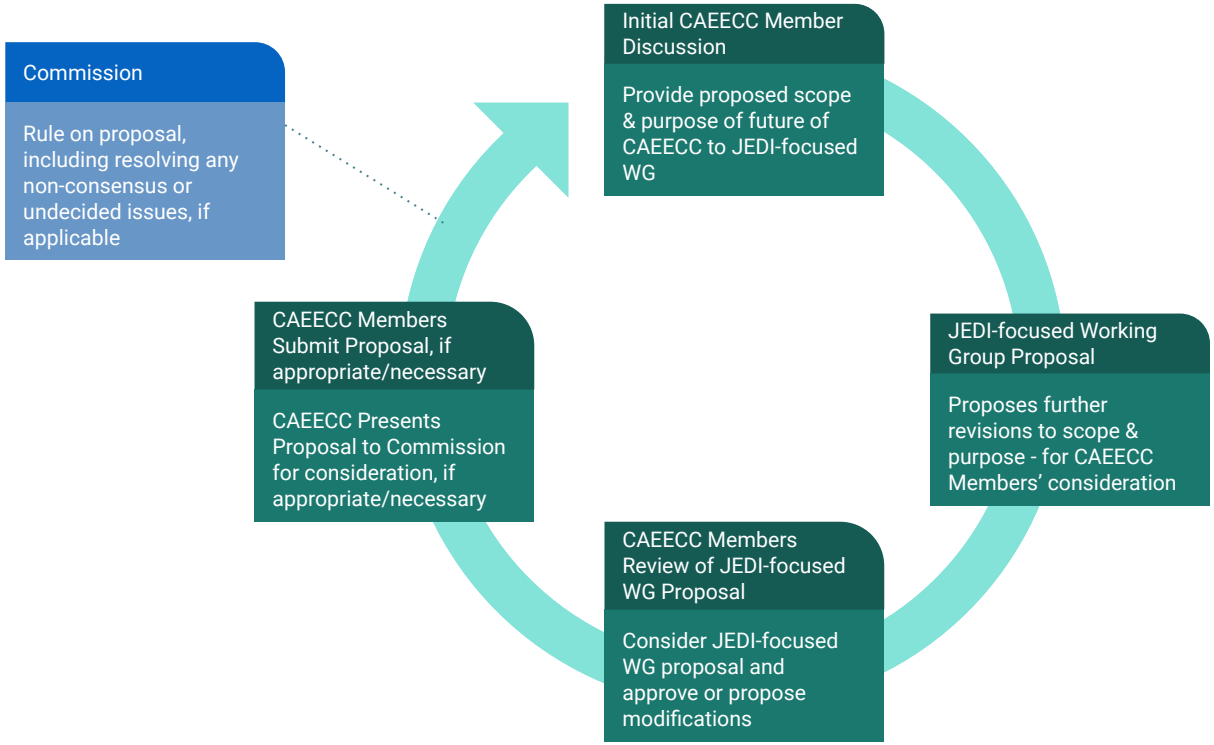
1. Body to vet plans and implementation for ratepayer funded EE and decarb (within EE proceeding) / Business Plans/Four Year Applications and/or just select related issues
    a. Annual or mid-cycle check-ins for 4 Year Business Plans - reference 2020 proposal (earlier slides) and numbers/details
    b. Specify whether decarb specific to EE proceeding or also decarb proceeding
2. Vehicle to reach communities to gather input on equity segment and other matters
3. Forum for addressing important ad-hoc issues as they arise through working groups and workshops
4. Forum for important updates
5. Venue to share successes and lessons learned (i.e., potentially including but not limited to comparing efforts) among California PAs
6. [remove Need to determine role of JEDI] -Refine and implement CDEI WG recommendation, including leveraging CAEECC as test bed for ESJ actions/activities; external actions and internally within CAEECC (see CDEI WG recommendations)
7. Whether/how to coordinate with other programs such as ESA, reliability, demand response and decarb and other relevant proceedings

Note: black text was presented at the 6/22 Full CAEECC meeting; light blue text represents Member discussion at 6/22 meeting; blue 10/19

Public Input, then Member Discussion

Live Editing

Iterative Process

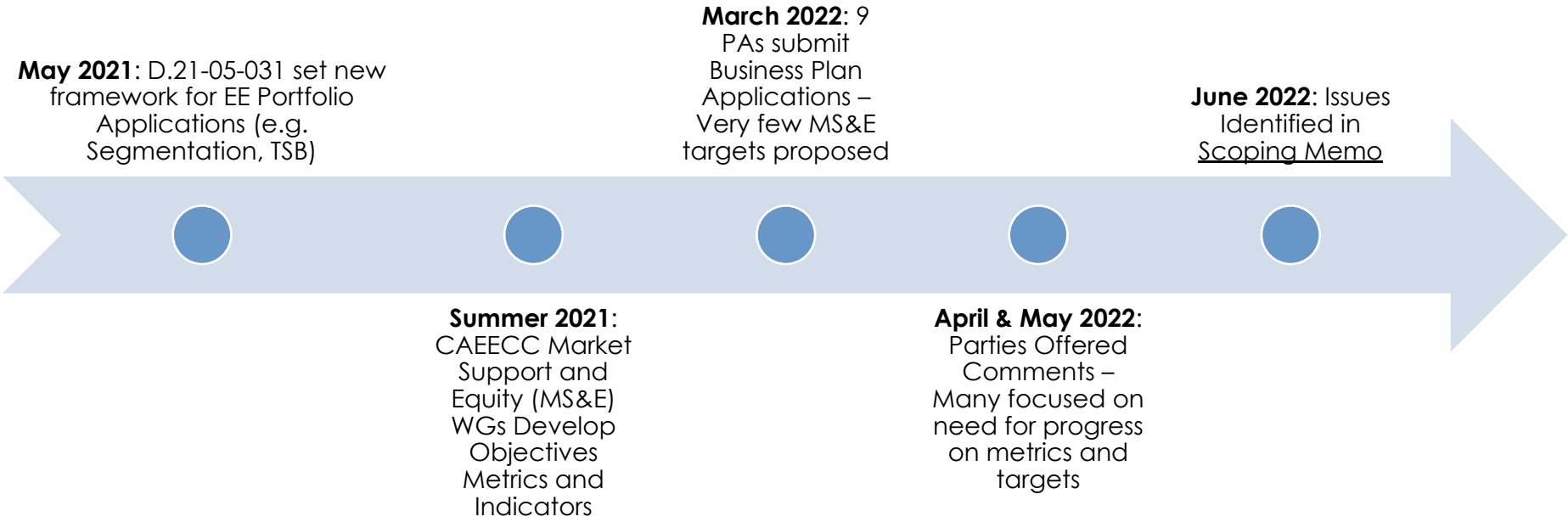


Session 3: Metrics Workshop Update

Goal: recap huddles and workshop + discuss next steps

- Recap of 2022 Equity and Market Support Metrics Working Group huddles and 9/15 CPUC Metrics workshop
- Review outcomes and discuss next steps

Timeline of EE Business Plan Application Proceeding A.22-02-005



Note: ED Slides from Workshop Slide Deck

Timeline of EE Business Plan Application Proceeding A.22-02-005

Aug 2022: Disadvantaged Communities Advisory Group (DACAG) offered comments on Equity Segment including suggestions for additional metrics, budget caps & floors, and NEBs valuation

Aug & Sept 2022: CAEECC Working Group Huddles reconvene MS&E WGs to facilitate greater progress at this Workshop

Sept 15 2022: Metrics Workshop Today

Oct 21 & Nov 21 2022: Intervenor Testimony/ Rebuttal Testimony

Note: ED Slides from Workshop Slide Deck

Scoping Memo Issue 3

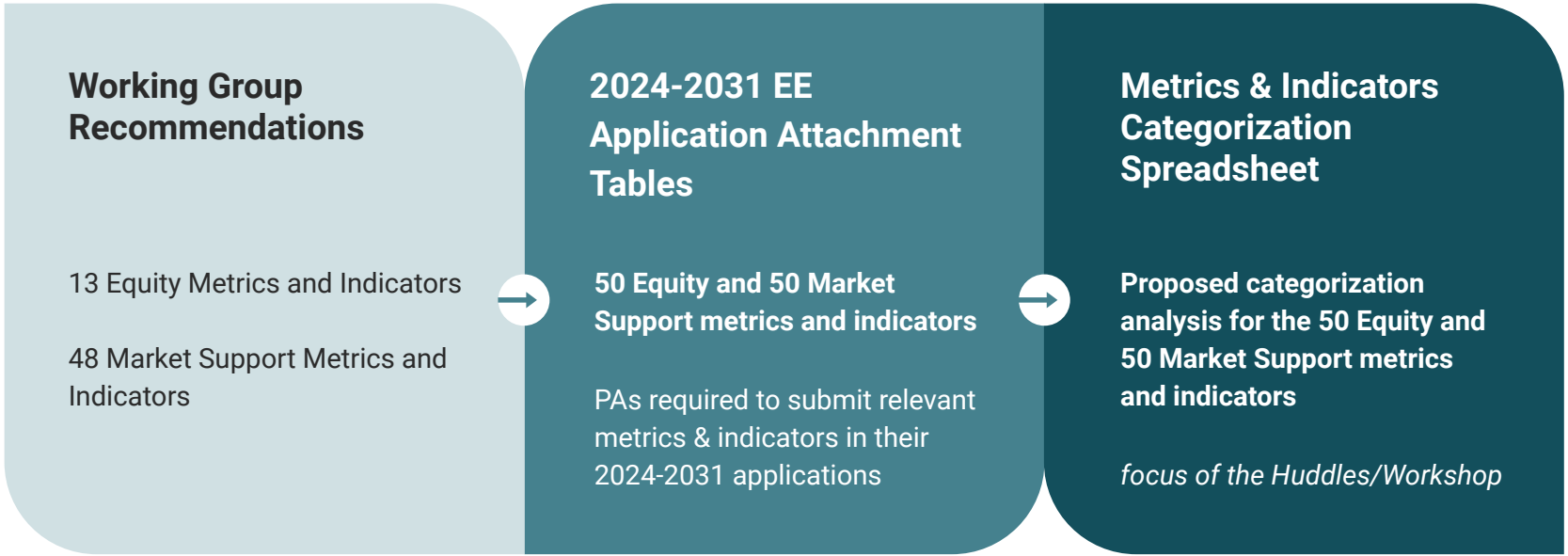
Are the proposed indicators, metrics, and targets for the portfolios, segments, and programs reasonable, and do they demonstrate growth and progress needed to meet future opportunities? What additional guidance, if any, is needed to better define target customers segments (e.g., underserved)?

Scoping Memo Issue 4

As a corollary to how investor-owned utilities and MCE, as a community choice aggregator, are held accountable to meet TSB goals and cost-effectiveness thresholds (for resource acquisition), which performance metrics and associated targets should regional energy networks (REN) be held accountable to?

Note: ED Slides from Workshop Slide Deck

From Working Groups to Huddles/Workshop



Key Themes from MSMWG Huddle (9/1/22)

- Several proposals were put forth outlining how to modify the categorization the CPUC put forth in their analysis.
- Participants took an interest in identifying the roles for reporting progress trackers.
- A proposal was put forth to streamline progress tracker methodology development and target-setting through a prioritization of, for example, two metrics per Sub-Objective.

Key Themes from EMWG Huddle (8/30/22)

- Several proposals were put forth outlining how to modify the categorization the CPUC put forth in their analysis.
- CalPA presented a proposal on Equity targets; members requested clarification on many elements and expressed some concern about misalignment with the EMWG and current definitions; and many members requested to meet with CalPA before the 9/15 workshop
- High-level input on approaches to adding specificity was provided, and many members supported the proposed metrics framework table intended to provide consistency across PAs
- Suggestion to consider rewording indicators that include language “resource acquisition and market support”
- Support for continued discussion to come to greater agreement/consistency on definitions and methodologies

Summary from Metrics Workshop (9/15/22)

Workshop Background: CPUC-hosted, CAEECC-facilitated Workshop to advance metrics and indicators work toward target-setting.

- ED presentation framing up the discussion, Application process, and Scoping Memo questions to further clarify target-setting process for Equity and Market Support metrics
- ED provided categorization analysis based on Application submissions, showing which metrics might be more or less ready for target-setting *(see ED Categorization Analysis spreadsheet)*
- Stakeholder proposals and recommendations (presentations from DACAG, CalPA, and Joint IOUs)
    - DACAG encouraged metrics around non-energy benefits, and increase of the budget cap on the Equity Segment, and suggested additional specific metrics/indicators for consideration
    - CalPA proposed Target-Setting Principles and Proposed Targets, e.g., 15% increase over baseline by 2027, and parity with DAC/HTR population in PA service territory by 2031. Some indicators were recommended for target setting.
    - The Joint IOUs proposed a timeline for target-setting: Set targets in 2023 True Up AL + Adjust targets in 2025 Mid-Cycle Review AL

Summary from Metrics Workshop, continued (9/15/22)

- Identified challenges and potential to establish targets for certain metrics
  - ED Categorization Spreadsheet was annotated with comments, recommendations, and resources from both the Huddles and the Workshop *(see ED Draft Categorization Analysis - Workshop Live Edits)*.
- Explored frameworks to add specificity to data collection and methodology to ensure consistency and usefulness of metrics and indicators
  - Using a REN-proposed metric framework as a starting point, participants developed a set of factors/aspects of metrics and indicators that need to be established for each PA to align on consistent, meaningful, and useful information & objectives.
- Presented RENs metrics/indicators framework and question of corollary performance metrics for RENs
  - Background on what metrics and indicators RENs collect and their connection to their unique charge.

Outcome + Next Steps of Metrics Workshop

Workshop Outcomes

- Participants indicated that clarification is needed on many definitions and key terms used throughout the metrics space
- Three proposals on Target Setting were presented and discussed at length. Additional conversations, collaborations, or ideas are welcomed by presenters.
- Participants indicated their desire to have a continued stakeholder engagement process to address clarification on definitions, key terms, and to achieve greater specificity on the methodology of data collection and roles and responsibilities, either through a continuation of the Market Support Metrics Working Group and the Equity Metrics Working Group or through a similar process.

Next Steps

- Additional stakeholder engagement is TBD.

Documentation

Materials, including slide decks, proposals, and meeting summary for the Workshop and the Huddles are available at https://www.caeecc.org/9-15-22-cpuc-metrics-workshop

Metrics Workshop Evaluation Survey Results

Question	Avg. Score
Goals were clearly articulated	5.1
Goals were accomplished	4.4
Documents were clear and helpful	5.1
Facilitators were effective	5.6
Online format was effective	5.0
Trusting and inclusive environment	5.2
Appropriate timeframe	4 too short, 7 too long, 7 about right
Overall success	4.4

Notes

1) Scores are 1-6 scale, where 1 is "strongly disagree" and 6 is "strongly agree" and 3.5 is mid-point of 1-6 scale

2) Scores based on responses from 18 participants

3) Facilitation Team, ED and Co-Chairs reviewed and debriefed comments from respondents

Questions?

Stretch Break

Join us back in 10 minutes

Session 4: General Updates & CAEECC Planning

Goal: Planning for future work; reflecting on today's meeting

1. June 2022 Scoping Memo Q&A
2. Near Term CAEECC Actions for Inclusion
3. Program Administrator Solicitations Reminder
4. Topics for November Meeting
5. Wrap Up: Summarize Accomplishments for Day and Delineate Next steps; Meeting Evaluations

June 2022
CPUC Scoping Memo

- **2024-2031 Energy Efficiency Business Plan and 2024-2027 Portfolio Plan**
- CPUC issued A.22-02-005 June 24, 2022
- Timeline at right (changes marked with *)
- Scoping Memo available at: https://docs.cpuc.ca.gov/PublishedDocs/Efile/G000/M488/K538/488538344.PDF

Any questions from the Public?

EVENT	DATE
Rulings and possible workshops on: • Natural gas incentives • Third-party solicitation reform • Governance of Commission tools (CEDARS and CET) • Program Administrator Coordination • Indicators, performance metrics and targets (Issues 3 and 4) • Other matters raised in the scope of issues above, if appropriate	Q2 – Q4 2022
Comments and reply comments on policy rulings, as needed	August 2022 – September 2022
Intervenors' prepared direct testimony served, on any/all items not addressed in comments in response to separate rulings earlier	*Oct 21, 2022
Rebuttal testimony	*Nov 21, 2022
Deadline to file a motion to request evidentiary hearings	November 21, 2022
Meet and confer (Rule 13.9)	December 1, 2022
Potential interim proposed decision on policy issues identified in rulings	December 2022
Status conference	January 30, 2023
Evidentiary hearing (if needed)	February 6–17, 2023
Opening briefs	April 17, 2023
Reply briefs *[matter submitted]*	May 4, 2023
Proposed decision	Q3 2023
Commission decision	Q3 2023

Near Term CAEECC Actions for Inclusion

The CAEECC Facilitation Team is pursuing the following near-term actions in the interim until the JEDI-focused WG formulates recommendations.

1. Website ADA accessibility
2. Drafting a DEIJ Training Plan for CAEECC
3. Revised Conflict of Interest policy proposal

DEIJ Training Plan: Background

The CDEI Working Group's Recommendation #5 and as reflected in the CAEECC Facilitator's scope of work:

- Work with CAEECC Co-chairs, ED staff, members and others as appropriate (e.g., JEDI-focused WG) to develop and execute Training Plan to strengthen DEI competencies for facilitation team, CAEECC members, and ex officio
- Provide or organize training
- *Facilitation Team designed to meet this need: Anthony Kinslow II, PhD (Gemini Energy Solutions) & Michelle Vigen Ralston (Common Spark)*

DEIJ Training Plan: Initial Concept

Have a very rough first concept for 2022-2023:

- Nov 30: Kickoff - Why DEIJ matters in our EE work (Anthony)
  - Closed session for CAEECC members and alternates and Ex-Officio
- Q1 2023: Organize DEIJ Training (outside firm)
  - Required for CAEECC members and alternates
  - Baseline training, level-setting to work from common terms and concepts, frameworks
- Q2 2023: Applied DEIJ Training (Anthony + outside firm or Common Spark)
  - Required for CAEECC members and alternates
  - Tailored training applying concepts to energy, EE work in CA, and CAEECC
- Ongoing
  - Provide trainings on regular basis - for new CAEECC members or as a refresh
  - Bi-Annual Applied Training/Conversation to update conversation to specific issues at hand

Feedback? What do you want to make sure is covered or included in the Training Plan? *Email Anthony at akinslow2@geminiesolutions.com and Michelle at michelle@common-spark.com*

DEIJ Training Plan Next Steps: Nov 30 meeting

- Dedicate the first 2 hours to DEIJ topics
  - Closed session for CAEECC Member leads and alternates and Ex-Officio
  - 90 min: Kickoff Conversation?
    - Background/Context about this work in the CPUC/CAEECC space
    - Discussion: What's on the line for CAEECC? EE?
    - Presentation: Why equitable work is work that accelerates our climate progress
  - 30 min: Review full DEIJ Training Plan
    - Incorporate feedback live
    - Seek approval for next steps and/or remainder of Plan

Questions, thoughts? Seeking approval for this slate of next steps.

Conflict of Interest (COI) Policy for JEDI-focused Working Group Proposal for Review & Discussion Today

All JEDI-focused Working Group members shall disclose to the CAEECC Facilitator their financial linkages to other members of the Working Group. Financial linkages to be disclosed, if any:

A. For non-PAs: any existing contracts or subcontracts with a PA.
B. For participating PAs: any contracts or subcontracts with non-PA Working Group participants.

Any person or entity paid to participate in the Working Group on behalf of another entity may only represent the entity providing compensation for their participation.

The above requirements do not apply to compensation received through the CAEECC Compensation pilot or non-discretionary contracts, such as pass-through mandates.

Note that the disclosed financial linkages will be posted to the CAEECC website. They are intended to inform and indicate any specific issues that may constitute a conflict of interest. Disclosures will not preclude a particular member from joining the WG.

[Procedural Notes:

A. Information to be collected by facilitators and posted as an aggregated packet on the WG homepage. Doesn't necessarily need to be in slides or completed by 1st mtg.

B. This changes the purpose somewhat from information garnered during the application process for WG to be considered when evaluating the application of a non-CAEECC proposed WG member, to something that is solicited and disclosed once a WG is fully formed.

C. ~~Relatedly, the expectation holds that~~ WG members will state, at the beginning of each given meeting, who they're representing ~~if not their own organization]~~

a. A WG Member may hold a contract with a PA, but may represent themselves, a contracting PA, or another organization, and shall disclose their representation at the beginning of each meeting.

COI Proposal: In Summary

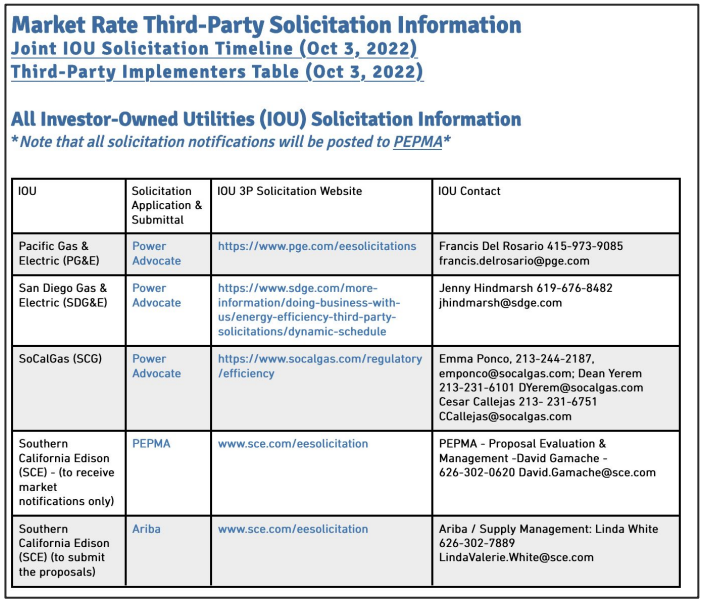
Two components:

A. **Disclosure** to CAEECC Facilitation Team
B. **Representation** at each Meeting

Example:

A. Jenn from Grounded Research **discloses** she is paid by BayREN to attend the JEDI-focused WG
B. Jenn introduces herself as Jenn from Grounded Research **representing** BayREN

Reminder: Solicitations Posted to CAEECC Website

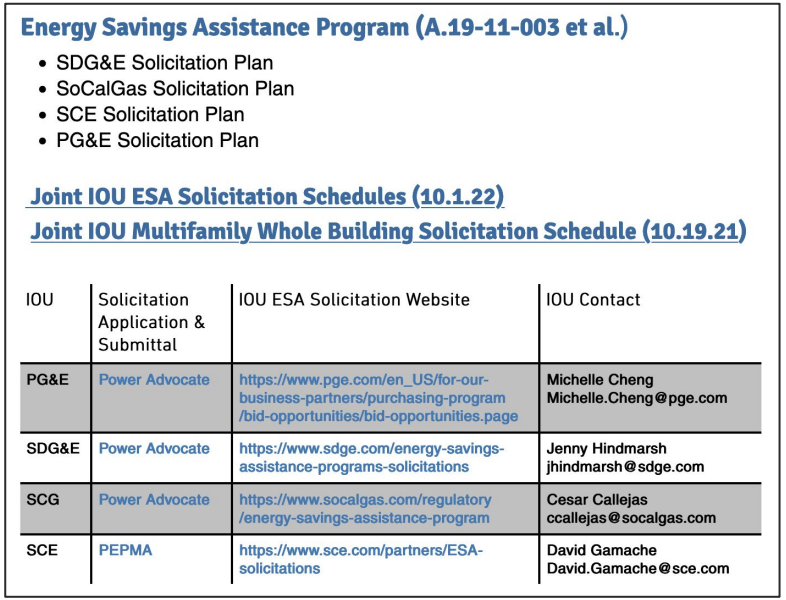


Market Rate Third-Party Solicitation Information
Joint IOU Solicitation Timeline (Oct 3, 2022)
Third-Party Implementers Table (Oct 3, 2022)

All Investor-Owned Utilities (IOU) Solicitation Information
Note that all solicitation notifications will be posted to PEPMA

IOU	Solicitation Application & Submittal	IOU 3P Solicitation Website	IOU Contact
Pacific Gas & Electric (PG&E)	Power Advocate	https://www.pge.com/eesolicitations	Francis Del Rosario 415-973-9085 francis.delrosario@pge.com
San Diego Gas & Electric (SDG&E)	Power Advocate	https://www.sdge.com/more-information/doing-business-with-us/energy-efficiency-third-party-solicitations/dynamic-schedule	Jenny Hindmarsh 619-676-8482 jhindmarsh@sdge.com
SoCalGas (SCG)	Power Advocate	https://www.socalgas.com/regulatory/efficiency	Emma Ponco, 213-244-2187, emponco@socalgas.com; Dean Yerem 213-231-6101 DYerem@socalgas.com Cesar Callejas 213- 231-6751 CCallejas@socalgas.com
Southern California Edison (SCE) - (to receive market notifications only)	PEPMA	www.sce.com/eesolicitation	PEPMA - Proposal Evaluation & Management -David Gamache - 626-302-0620 David.Gamache@sce.com
Southern California Edison (SCE) (to submit the proposals)	Ariba	www.sce.com/eesolicitation	Ariba / Supply Management: Linda White 626-302-7889 LindaValerie.White@sce.com

https://www.caeecc.org/third-party-solicitation-process



Energy Savings Assistance Program (A.19-11-003 et al.)

- SDG&E Solicitation Plan
- SoCalGas Solicitation Plan
- SCE Solicitation Plan
- PG&E Solicitation Plan

Joint IOU ESA Solicitation Schedules (10.1.22)
Joint IOU Multifamily Whole Building Solicitation Schedule (10.19.21)

IOU	Solicitation Application & Submittal	IOU ESA Solicitation Website	IOU Contact
PG&E	Power Advocate	https://www.pge.com/en_US/for-our-business-partners/purchasing-program/bid-opportunities/bid-opportunities.page	Michelle Cheng Michelle.Cheng@pge.com
SDG&E	Power Advocate	https://www.sdge.com/energy-savings-assistance-programs-solicitations	Jenny Hindmarsh jhindmarsh@sdge.com
SCG	Power Advocate	https://www.socalgas.com/regulatory/energy-savings-assistance-program	Cesar Callejas ccallejas@socalgas.com
SCE	PEPMA	https://www.sce.com/partners/ESA-solicitations	David Gamache David.Gamache@sce.com

https://www.caeecc.org/energy-savings-assist-prgm-a-1911-003

Proposed Topics for Q4 (11/30) CAEECC Meeting

- **2023 Workplan** (including proposed meeting dates): what is the role of CAEECC beyond DEIJ WG; change requirements of 2023 member requirements
- **Solicitation Update**
- **DEIJ Training Plan**
- **Possible closed session DEIJ Kickoff**
- **JEDI-focused WG Prospectus Review**
- **Continued discussion on Evolving Purpose & Goals of CAEECC: iterative approval process and WG scope sequence**
- **Continued discussion on Compensation pilot recommendations?**
- **Update on other relevant proceedings (Energy Savings Assistance Program), ESJ Action Plan Implementation (including compensation for participation)**
- *Other topics?*

Wrapping Up

Next Steps

- Meeting summary will be posted in 5 business days
- Session 1: Working Group Updates
  - SoCalREN to submit a motion requesting CPUC authorization on funding for a Compensation pilot for the JEDI-focused WG. Draft to be shared with CAEECC.
  - Facilitators to update Compensation Task Force Final Report with batch-review processes
  - Facilitation team to share JEDI-focused WG Prospectus for Members to review before 11/30 Full CAEECC meeting
- Session 2: Evolving Purpose & Scope of CAEECC
  - Facilitation team to summarize the meeting's input and prepare revised proposed Scope to share with the JEDI-focused WG (slide 52)
- Session 4: General Updates & CAEECC Planning
  - DEIJ Training Plan: Facilitation team share draft plan for Members to review before 11/30 Full CAEECC meeting
  - Facilitation team to implement proposed COI Policy and revised Procedural Notes for JEDI-focused WG as approved at the meeting
- Others?

6/22 Full CAEECC Meeting Evaluation Survey Results

Question	Avg. Score
Objectives of the meeting were clearly articulated on the agenda	5.3
Objectives of the meeting were accomplished	5.1
Presentations and background documents were clear and helpful	5.2
CAEECC Members (including PAs) were flexible in seeking outcomes that were potentially mutually agreeable, where applicable	5.2
The facilitators were effective in running the meeting (e.g., fostering a constructive and efficient forum, being impartial, and making sure no one dominated discussions)	5.4
Overall, the online meeting format (WebEx) was smooth and effective	5.3
Overall, this Full CAEECC meeting was successful	5.1

Notes

1) Scores are 1-6 scale, where 1 is "strongly disagree" and 6 is "strongly agree" ; and 3.5 is mid-point of 1-6 scale

2) Scores based on responses from 24 members

3) Facilitation Team and Co-Chairs reviewed and debriefed comments from respondents

10/19 Full CAEECC Meeting Evaluation

Evals will be sent by Susan Rivo (susan@raabassociates.org)

Complete evals **today**, latest by October 26, 2022 COB

Live Meeting Evaluation

?

poll

Do you feel this was an inclusive and trusting environment?

Not at all safe …….... Somewhat safe …….…….. Very safe

Do you feel the meeting was effective?

Not at all effective ……..... Somewhat effective …….…….. Very effective

What worked well? How can we improve?

Appendix

- Session 1: Compensation TF
  - Motion Outline
  - Budget Proposal
  - Sample Application
- Session 2: Evolving Purpose & Goals of CAEECC Discussion
- Session 4: Conflict of Interest Policy Proposal for JEDI-focused Working Group

Session 1: Compensation Task Force Appendix Slides

Appendix: Compensation TF Motion Outline

- Introduction
- Summary of Compensation Task Force recommendations and CAEECC request for authorization of funds for Compensation Pilot for JEDI-focused WG
  - Purpose and benefits of compensation pilot
  - Why EE funding source
  - Recommended administration
- Background on EE funding authorization
  - D.15-10-028, what it orders and allows, interpretation that funds cannot be used for the purposes
  - Seeking budget authorization for defined use
- About the Compensation Pilot
  - Administration and process, criteria and oversight to ensure proper use of ratepayer funds
    - Application process + eligibility
    - Approval process
    - Distribution of funds process
    - Evaluation
- Request for authorization
  - Pilot budget amount
  - Authorization of future funds via Tier 2 Advice Letter
- Conclusion

Appendix: Compensation TF Budget Proposal (per recipient)

CAEECC Onboarding	**Hours per event**	**$/hr**	**Total $/event**	**Total $/Process**
Onboarding and Training	8	$150.00	$1,200.00	
Additional costs, e.g. Per Diem	N/A	N/A	$200.00	
		Subtotal	**$1,400.00**	**$1,400**
CAEECC Quarterly meetings (2 per year)	**Hours per event**	**$/hr**	**Total $/event**	**Total $/Process**
CAEECC Meeting Attendance	6	$150.00	$900.00	
CAEECC Meeting Preparation	2	$150.00	$300.00	
CAEECC Meeting Follow-up	2	$150.00	$300.00	
Additional costs, e.g. Per Diem	N/A		$200.00	
		Subtotal	**$1,700.00**	**$3,400.00**
Participation in one Working Group (Assume 6 Working Group meetings per year)	**Hours per event**	**$/hr**	**Total $/event**	**Total $/Process**
Working Group Meeting Attendance	4	$150.00	$600.00	
Working Group Meeting Preparation	2	$150.00	$300.00	
Working Group Meeting Follow-Up	2	$150.00	$300.00	
Working Group Meeting Homework	2	$150.00	$300.00	
Additional costs, e.g. Per Diem	N/A		$200.00	
		Subtotal	**$1,700.00**	**$10,200.00**
Ad-Hoc Workshops (Assume 1 workshops per WG process)	**Hours per event**	**$/hr**		**Total $/Process**
Stakeholder Workshops	4	$150.00	$600.00	
Additional costs, e.g. Per Diem	N/A		$200.00	
		Subtotal	**$800.00**	**$800.00**
Cost per Pilot Recipient per WG Process				**$15,800**

Appendix: Compensation TF Budget Proposal (total)

Estimated budget for a single 6-meeting WG process per compensation recipient				
Budget can change depending on how many recipients to be able to be supported				
	Number of Pilot Recipients	**5**	**10**	**15**
	Total Annual Compensation to Pilot Recipients	$ 79,000	$ 158,000	$ 237,000
Program Budget	15% for admin	$ 13,035	$ 27,882	$ 41,824
	Annual Total	$ 86,900	**$ 185,882**	$ 278,824
High-Cost Scenario	High Annual Total	$ 99,935	$ 204,471	$ 306,706
Low-Cost Scenario	Low Annual Total	$ 78,210	$ 167,294	$ 250,941

Appendix: Compensation TF Sample Application

Sample application for the Compensation Pilot.

This application is for the Compensation Pilot specifically for prospective members of the JEDI-focused Working Group of the California Energy Efficiency Coordinating Committee (CAEECC). The Compensation Pilot is a funding mechanism to welcome burdened individuals or organizations and alleviate the barrier of 'participation without compensation'. The intent, principles, and eligibility criteria of Compensation Pilot are located in the Application Appendix. The Compensation Pilot (and JEDI-focused WG) will adhere to the CAEECC Ground Rules and Meeting Norms (see Appendix).

The deadline to apply for the Compensation Pilot is rolling, pending available funds. Applications will be reviewed in the order they are submitted and granted compensation until funding is maxed out. Interviews *may* be requested with compensation applicants. Please fill out the following application form.

** Connotes a required field below.*

*Would you like to apply for the Compensation Pilot? Yes/No

Appendix: Compensation TF Sample Application cont.

Contact Information

*Primary Contact Name (First and Last); Primary Contact Pronouns; Primary Contact Email; Primary Contact Phone

Proxy Contact Name (First and Last); Proxy Contact Pronouns; Proxy Contact Email; Proxy Contact Phone

*Will you be representing: Yourself/Organization

*_If responded 'An Organization' above, required_ | Name of Organization: _____

What mission and values does your participation represent (as an individual or organization)?
_____

*Please describe what perspective(s), representation(s), or community/ies with which you identify. Yes/No

Appendix: Compensation TF Sample Application cont.

Compensation Pilot Eligibility

*Would participating in the WG create a financial hardship for you or your organization? Yes/No

* Please explain why you would not be able to participate in the JEDI-focused WG without financial compensation.
_____

CAEECC Policies and Interest

*Do you agree to abide by the Ground Rules and Meeting Norms for CAEECC and CAEECC working groups? Yes/No

Describe specific prior experience (if any) working collaboratively in other stakeholder processes.
_____

[5] See JEDI-focused WG Scope of Work Appendix

*Are you committed to make meaningful contributions to this working group by participating in the pre-work, meeting attendance and active engagement, and meeting follow-up or homework? Yes/No

Session 2: Evolving Purpose & Goals of CAEECC Discussion Appendix Slides

Appendix: CAEECC 2020 Role Proposal Detailed Summary

8.3.1 Yearly CAEECC Presentation on Key Annual Report Metrics

1. Utilize the Annual Report (Q2 – May) to kickstart the year of review
2. At the Q2 meeting, the PAs will present key trends to watch (e.g., issues that need attention), overall metrics of progress, any program closures, and a selection of specific metrics
3. If issues arise, potentially create working groups to brainstorm solutions (see 8.3.4)
4. Focus on the most useful data to inform the review of progress and focal points for improvements

8.3.2 Semi-Annual CAEECC Presentation on Key Annual Report Metrics

5. Focus on overall metrics by sector and portfolio
6. Raise & discuss any challenged programs
7. Share progress made if short-term working groups or task forces were established to tackle issues raised in the Annual Report (Q2 meeting)

8.3.3 CAEECC Engagement Related to Interim Filings

8. Increase transparency and collaborate regarding interim filings
9. Ensure challenges are addressed and input is integrated prior to such filing
10. Solve for any challenges ahead of time, reduce forthcoming litigation, and improve the portfolios

Appendix: CAEECC 2020 Role Proposal Detailed Summary Cont.

8.3.4 CAEECC Engagement on an On-going Basis

1. If issues were raised in Q2, set forth a process to resolve challenges collaboratively
2. See proposal for an example of such process (e.g., establish short-term task forces to present proposals to CAEECC)

8.3.5 Non-CAEECC Member Stakeholder Input Opportunities

3. Input on PA identified items: provide sufficient time for Energy Division and CAEECC Members, as well as non-CAEECC stakeholders, to provide feedback or raise questions. CAEECC would provide a forum to increase transparency and provide a collaborative problem-solving opportunity.
4. Additional items not raised by the PAs: Stakeholders could raise discussion topics through the CAEECC topic form (https://www.caeecc.org/discussion-topic-proposals).
5. Specific input on programmatic goals and metrics: Stakeholders have the opportunity to discuss details of programs during the PA implementation plan webinars.

Appendix: CAEECC 2020 Role Proposal Goals

The goals that this proposed stakeholder process aims to achieve include:

1. Create a process to integrate collaborative planning and problem-solving prior to filing Applications,
2. Ensure transparent review and tracking of energy efficiency portfolios through CAEECC to increase understanding of progress and to help maximize cost-effective savings,
3. Increase the usefulness of reported data by striving for less, but targeted data more frequently as opposed to more data less frequently, and
4. Establish effective and transparent collaborative opportunities to brainstorm solutions to challenges that arise during implementation.

Appendix: CAEECC 2020 Role Proposal Challenges

The current issues that the proposed stakeholder process aims to solve include:

1. There is no opportunity to delve into the details of developing a compliant application with the opportunity to solve for challenges prior to filing.
    - How can we utilize CAEECC to increase collaboration, transparency, and problem-solving as PAs develop their applications?
2. There is little meaningful insight into programs until after final analysis.
    - How can we use ongoing data to understand progress and solve for challenges as they occur?
3. Reporting criteria are onerous to generate and review.
    - How much does this churn contribute to program cost without contributing to program improvement or C/E?
    - Are all the data useful and/or used? If not, should CAEECC propose reporting requirement modifications to focus time and money on the most useful data?
4. Current information and timing of data is not helpful to improve programs.
    - How can we use information to conduct mid-cycle modifications to increase C/E and improve customer experience?
5. There is no opportunity to discuss challenges or expand successes.
    - How can we utilize CAEECC to best solve issues as they come up or expand successes when they occur?

Appendix: CPUC D.21-05-031 CAEECC Future Role

- **5.2.9. Stakeholder Engagement p.48**

The CAEECC Proposal includes an extensive set of recommendations for how program administrators should engage with stakeholders, including the CAEECC itself, before, during, and after application filings, during program implementation, and as part of regular reporting and monitoring activities. The CAEECC Proposal includes a great deal of thoughtful planning about the best way to engage stakeholders, especially through the CAEECC. While we are reluctant to include in this decision explicit and rigid requirement about how the CAEECC should do its work, we endorse the general strategic approach laid out in the CAEECC Proposal and encourage the program administrators and stakeholders to adhere to it as closely as possible. We further encourage the program administrators to consult early and often with stakeholders even prior to the preparation of draft filings, to understand stakeholder priorities and concerns, taking them into account when developing their draft filings.

- **Table 4**. Adopted Schedule for Business Plans, Program Portfolios, Potential and Goals, and Technical Updates @ p.61

Session 4: Conflict of Interest Policy Proposal for JEDI-focused Working Group Appendix Slides

JEDI-focused Working Group Conflict of Interest (COI) Policy: Changes Agreed to In Principle at 6/22/22 CAEECC Meeting

Text box below is excerpted from slide 38 of 6/22/22 CAEECC meeting (from Groundrule III.E.II.a, pg 4).

Disclosures: Non-CAEECC Member organizations participating in a Working Group are required to disclose to the Working Group and the Facilitation Team ~~both a) the entities with whom they are currently doing energy-related business with or for, both currently and within the past year and b)~~ whether they ~~are proposing to~~ will represent their own organization or another entity, and if so whom. ~~If new contractual relationships develop during the course of the Working Group, or their representation changes, they will update their disclosures~~.